

**Choice Trade 証券口座の開設  
マニュアル**

Ver3.0

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## Choice Trade 証券口座の開設について

### ■ Choice Tradeとは…

- ・インターネットを使ったオンライントレードが簡単に可能
- ・株のトレード等が、低コストで手続きが可能
- ・オンライン証券市場では確実な実績を誇る
- ・リアルタイムで株式の売買が可能

<事前に以下証明書類(①と②から一つずつ)をご用意ください>

①本人確認書

- ・有効期限内のパスポート(顔写真のページ)
- ・国際運転免許証

②住所証明書

- ・残高証明書(英語のみ)
- ・公共料金(ガス・水道・電気、いずれか一点)の請求書※日本語原本と英語翻訳 両方必要  
※口座開設者名義にて登録住所へ送られている3ヶ月以内のもの

### ■ 証券口座開設手続きにあたり、以下の情報をご記入ください

#### ○ 登録するメールアドレス

※@ezweb.ne.jp @docomo.ne.jp @softbank.ne.jpのメールアドレスは  
Choice Tradeからのメールが受け取れない可能性があるため使用しないでください  
(例:taro@yahoo.co.jp)

#### ○ 開設手続きのパスワード

※アルファベット大文字+小文字+数字を含む8文字～20文字以内  
(例:Acbd1234)

初めて手続きされる  
方はChoice Tradeへの  
アクセス方法P8～へ

## ■ 開設手続き中断後の再ログイン方法

開設手続きの途中で入力を中断し、あとで続きを入力したい場合、前回保存したページまで戻ることができます  
以下の手順に沿って、手続きを進めてください

The Online Broker for Stocks and Options

**Award Winning**

ChoiceTrade has consistently ranked high in the annual Barron's surveys as one of the **best online brokers for stocks and options trading**.

[Learn More](#)

**Power Tools for Active Traders**

Beyond our **online trading platform**, ChoiceTrader Direct Pro offers streaming quotes, dynamic charts and more, in your browser or desktop.

[Learn More](#)

**Trade from Anywhere**

Can't get to your computer for **online stock and option trading**? Use your phone or tablet to trade stocks and options at [m.choicetrade.com](http://m.choicetrade.com).

[Learn More](#)

「Open Account(口座開設)」をクリックしてください

OPENING A CHOICETRADE ACCOUNT IS EASY!

Already started your application? Sign in.

1 Set-Up 2 Personal Info 3 Investment Profile 4 Agreement 5 Review & Submit

Select Citizenship Status

( if you are a non-U.S. person you must select Non-resident to see a list of countries. )

Country of Birth

「Already started your application? Sign in.(すでに開設手続きを始めていますか？ログインはこちら)」をクリックしてください

Open Account Online | 1 Set-Up | 2 Personal Info | 3 Investment Profile | 4 Agreement | 5 Review & Submit

Login

Enter Your Email-id  
Enter Your Secret Word

Login | Cancel | Forget your Password?

クリック

「Enter Your Email-id(メールアドレスを入力)」に登録したメールアドレスを入力  
 「Enter Your Secret Word(パスワードを入力)」に開設手続きのパスワードを入力  
 「Login(ログイン)」をクリック

Open Account Online | 1 Set-Up | 2 Personal Info | 3 Investment Profile | 4 Agreement | 5 Review & Submit

Welcome back!

Click below to resume an application already in progress.

You have application(s) completed or in progress. Click on any one to continue.

Individual Account ( 123456789012 - started on 2017-06-12 03:27:57 )

You have completed 2 of 5 steps; next we need you to provide us with your investment profile

Delete | Continue | クリック

「Continue(続行)」をクリック

前回保存したページまで戻ることができます  
 該当ページを参考に手続きを進めてください

## ■ 開設手続きのパスワードを忘れた場合

The screenshot shows a 'Login' screen with two input fields: 'Enter Your Email-id' and 'Enter Your Secret Word'. Below the fields are 'Login' and 'Cancel' buttons. To the right of the 'Forgot your Password?' link, there is a red box and a blue arrow pointing to it.

「Forget your Password?(パスワードを忘れた)」をクリックしてください

The screenshot shows a 'Forgot Secret Word' screen with a text input field labeled 'Please enter your email' and a 'Submit' button. A blue box and a blue arrow point to the 'Submit' button.

「Please enter your email(メールアドレスを入力してください)」に登録したメールアドレスを入力  
「Submit(提出)」をクリックしてください

The screenshot shows a 'Forgot Secret Word' screen with a message 'We have sent the password reset link to your email id'. A red box highlights this message, and a blue box and blue arrow point to it.

## ○メールの内容

### <件名>

Forgot Password For ChoiceTrade

### <本文>

Dear ChoiceTrade Client:

Click on this link to reset your password: [Reset Password](#) クリック

If you did not try to change your password, please contact us at  
[service@choicetrade.com](mailto:service@choicetrade.com) immediately.

Please do reply to this email as it will not be monitored.

「Reset Password(パスワードを再設定)」をクリックしてください

Open Account Online 1 Set-Up 2 Personal Info 3 Investment Profile 4 Agreement 5 Review & Submit

Forgot Secret Word

Secret Word\*

Confirm Secret Word\*

Submit Cancel

クリック

新しい開設手続きのパスワードを入力  
※アルファベット大文字+小文字+数字を含む8文字～20文字

新しい開設手続きのパスワードを再度入力

「Secret Word(パスワード入力)」に新しい開設手続きのパスワードを入力

「Confirm Secret Word(パスワードを再度入力)」に新しい開設手続きのパスワードを  
再度入力

「Login(ログイン)」をクリック

Open Account Online 1 Set-Up 2 Personal Info 3 Investment Profile 4 Agreement 5 Review & Submit

クリック

新しいパスワードの設定完了

Your password has been changed successfully. Click [here](#) to login.

「Your password has been changed successfully.(パスワードの変更が完了しました)」  
「here(こちら)」をクリックしログインしてください ※P5へ

## ■Choice Tradeへのアクセス方法

- 検索サイトに「Choice Trade」と入力し、検索ボタンを押してください  
または、<https://www.choicetrade.com/> にアクセスしてください

Choice Trade

①「Choice Trade」と入力

約292,000,000件

検索ツール

choice trade 口座開設方法 choice tradeとは で検索

[ChoiceTrade - Best Online Trading Trade Online Stock Trading ...](https://www.choicetrade.com/)

www.choicetrade.com/ - キャッシュ

Online Stock Trading, online trading platform, Online Broker For Stocks, Best online broker, on line options trading, option trading software, penny stock trading, internet stock trading brokers, buy direct access discount commission.

ChoiceTrade Pricing  
Open Account Loading  
Contact Fund

[チョイストレード | 全ての優良FX自動売買EAを無料使用可能！](#)

choice-trade.com/ - キャッシュ

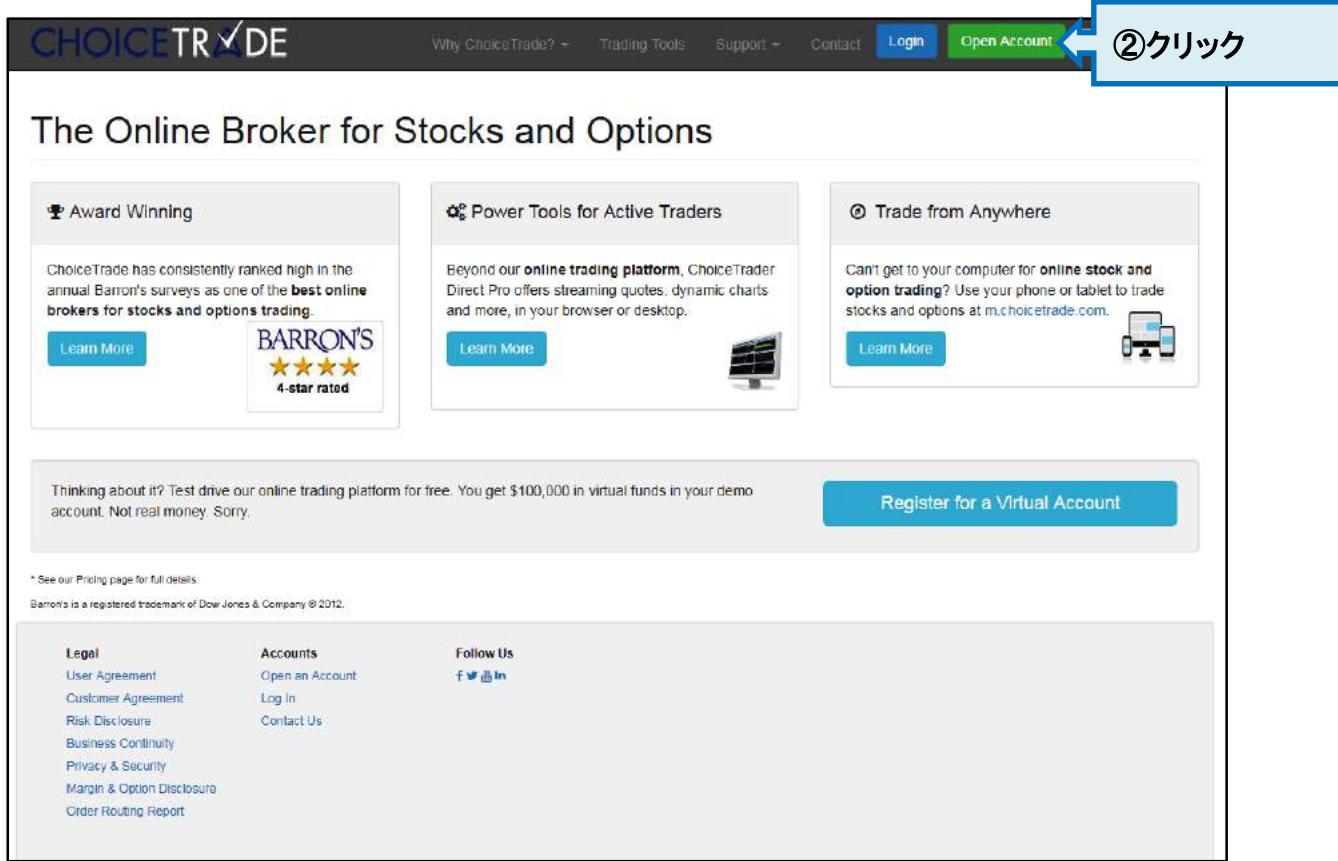
Choice-Trade.(チョイストレード)では、全ての優良FX自動売買ソフト(EA)が無料で使用可能です！初心者様にも優しい24時間サポートサービス！戦略を選択し、FXシステムトレードで勝利を目指せ！

[Choice-Trade\(チョイストレード\)のサービス内容と特徴 | チョイストレード](#)

choice-trade.com/service/ - キャッシュ

自動売買システム(EA)を運用する上で大切なこと。それは「システムの成績」そして「システム自身のコスト」です。通常、EAは購入してしまえばあとは返品不可。どんなダメEAだったとしても、購入して使ってみないと真の実力は分かりません。しかし、その購入 ...

## 2.「Open Account」をクリックしてください



The Online Broker for Stocks and Options

ChoiceTrade has consistently ranked high in the annual Barron's surveys as one of the **best online brokers** for stocks and options trading.

[Learn More](#)

Beyond our **online trading platform**, ChoiceTrader Direct Pro offers streaming quotes, dynamic charts and more, in your browser or desktop.

[Learn More](#)

Can't get to your computer for **online stock and option trading**? Use your phone or tablet to trade stocks and options at [m.choicetrade.com](#).

[Learn More](#)

Thinking about it? Test drive our online trading platform for free. You get \$100,000 in virtual funds in your demo account. Not real money. Sorry.

[Register for a Virtual Account](#)

\* See our Pricing page for full details.  
Barron's is a registered trademark of Dow Jones & Company © 2012.

**Legal**

[User Agreement](#)

[Customer Agreement](#)

[Risk Disclosure](#)

[Business Continuity](#)

[Privacy & Security](#)

[Margin & Option Disclosure](#)

[Order Routing Report](#)

**Accounts**

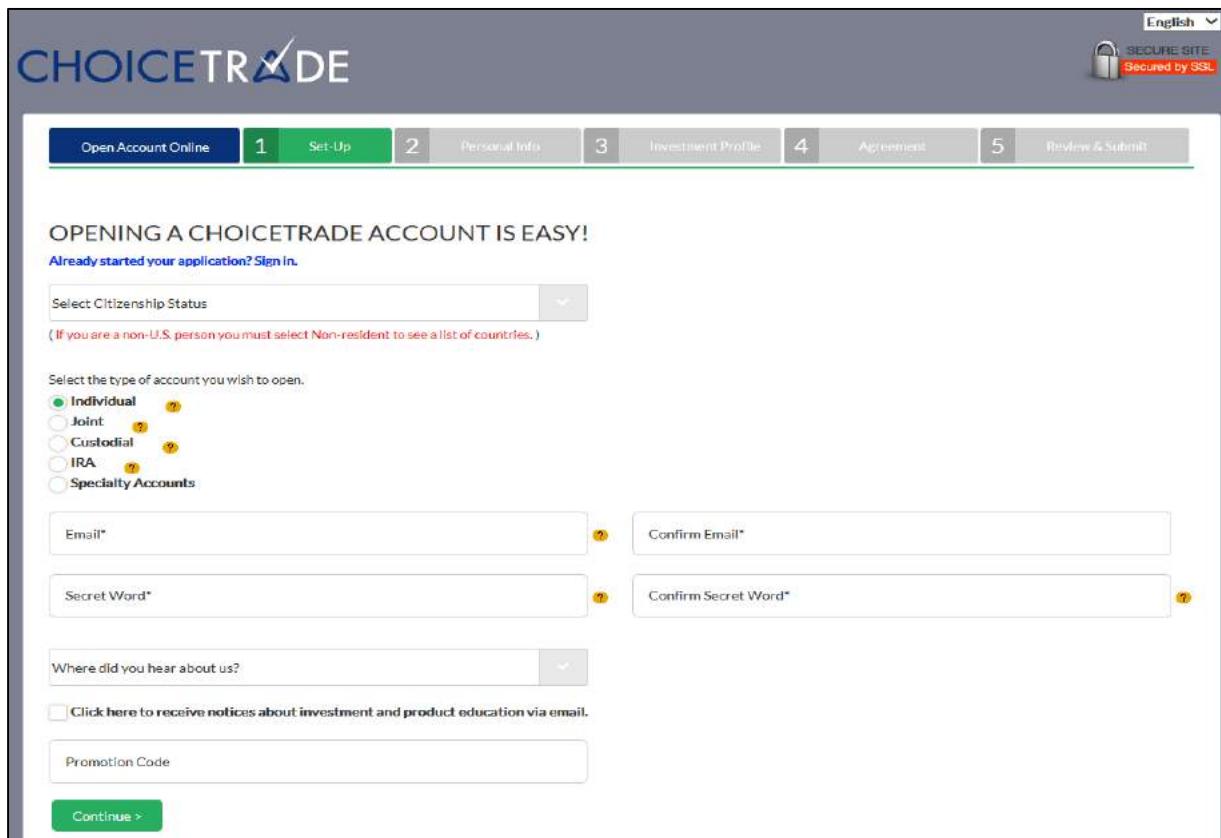
[Open an Account](#)

[Log In](#)

[Contact Us](#)

**Follow Us**

Choice Tradeの申込み画面が開きます



OPENING A CHOICETRADE ACCOUNT IS EASY!

Already started your application? [Sign in](#).

Select Citizenship Status

(If you are a non-U.S. person you must select Non-resident to see a list of countries.)

Select the type of account you wish to open.

- Individual
- Joint
- Custodial
- IRA
- Specialty Accounts

Email\*  Confirm Email\*

Secret Word\*  Confirm Secret Word\*

Where did you hear about us?

Click here to receive notices about investment and product education via email.

Promotion Code

[Continue >](#)

## ■開設手続き(1 Set-Up)

### 入力画面A

OPENING A CHOICETRADE ACCOUNT IS EASY!

[Already started your application? Sign in.](#)

Non Resident  Country of Birth

( If you are a non-U.S. person you must select Non-resident to see a list of countries.)

Country of Residence

Country of Citizenship

Select the type of account you wish to open.

Individual ?

Joint ?

Specialty Accounts

Email\*  ? Confirm Email\*

Secret Word\*  ? Confirm Secret Word\*  ?

Where did you hear about us?  ?

Click here to receive notices about investment and product education via email.

Promotion Code

**Continue >**

## 入力画面A

■ New User Registration. ③～⑯を入力してください

③「Non Resident(非居住者)」を選択

ASY!

Already started your application? Sign in

Non Resident

(if you are a non-U.S. person you must select Non-resident to see a list of countries.)

JAPAN

④JAPANを選択

JAPAN

⑤JAPANを選択

JAPAN

⑥JAPANを選択

Select the type of account you wish to open

Individual

?

Joint

?

Specialty Accounts

⑦「Individual(個人口座)」を選択

taro@yahoo.co.jp

taro@yahoo.co.jp

⑧⑨メールアドレスを入力

.....

.....

⑩開設手続きの  
パスワードを入力 (※)

⑪⑩を再入力

Friend

⑫「Friend(友人)」を選択

Click here to receive notices about investment and product education via email.

Promotion Code

Continue >

⑬✓をいれる

⑭空白のまま

⑮クリック

<選択事項>

③Citizenship Status(米国の市民権) : 「Non Resident(非居住者)」を選択してください

④Country of Birth(生まれた国) : 「JAPAN(日本)」を選択してください

⑤Country of Residence(居住国) : 「JAPAN(日本)」を選択してください

⑥Country of Citizenship(市民権) : 「JAPAN(日本)」を選択してください

⑦Select the type of account you wish to open(口座種別) : 「Individual(個人口座)」を選択してください

⑧Email(メールアドレス) : ご自身の「メールアドレス」を入力してください

⑨Confirm Email (メールアドレス確認用) : ⑧を再度入力してください

⑩Secret Word(パスワード) : 開設手続きのパスワードを入力してください

(※) 8～20文字以内あるアルファベット(大文字) + (小文字) + (数字)

⑪Confirm Secret Word (パスワード確認用) : ⑩を再度入力してください

⑫Where did you hear about us?(どこでChoice Tradeを知りましたか？) : 「Friend(友人)」を選択してください

⑬Click here to receive notices about investment and product education via email. :

メールによる情報を受け取る場合はチェックを入れてください(任意)

⑭Promotion Code : 空白のまま

⑮「Continue(続行)」をクリックしてください

## ■開設手続き(2 Personal Info)

### 入力画面B

Open Account Online **1** Set-Up **2** **Personal Info** **3** Investment Profile **4** Agreement **5** Review & Submit

Welcome . Please continue your application below.

**Personal Information**

Title	First Name*	Middle Name	Last Name*
Date Of Birth MM/DD/YYYY		Gender	
Marital Status		Number Of Dependents	
Government ID Number		<small>?</small>	

**Permanent Address**

Permanent Address	Address2
City	Select Country
Zip Code	Select State

My mailing address is different

**Contact Information**

Mobile Phone	Evening Phone
--------------	---------------

**<< Back** **Save And Continue >>**

## 入力画面B

■ Personal information. ⑯～㉔を入力してください

⑯敬称: 「Mr.(男性)」「Ms.(女性)」「Mrs. (既婚女性)」「Dr. (博士・医者)」より選択

⑰名: Taro

⑱ミドルネーム: 空白

⑲姓: Yamada

⑳生年月日(月/日/年): 04/24/1980

㉑性別: 「Male(男性)」「Female(女性)」より選択

㉒扶養家族数: 2

㉓婚姻状況: 「Single(独身)」「Married(婚姻)」「Divorced(離婚)」「Widow(死別)」より選択

㉔国際ID番号: パスポート(旅券)番号を入力  
(例) MS1234567

⑯Title(敬称): 「Mr.(男性)」「Ms.(女性)」「Mrs. (既婚女性)」「Dr. (博士・医者)」より選択してください

⑰First name(名): 「名」を入力してください※英字

⑱Middle Name (ミドルネーム): 空白のまま

⑲Last Name (姓): 「姓」を入力してください※英字

㉐Date of Birth (生年月日): 「生年月日」を入力してください

※月/日/年の順に入力してください

例: 1970年4月20日の場合 ⇒ 04/20/1970 ※ / (スラッシュ)は入力不要

㉑Gender (性別): 「Male(男性)」「Female(女性)」より選択してください

㉒Marital Status (婚姻状況): 「Single(独身)」「Married(婚姻)」「Divorced(離婚)」「Widow(死別)」より選択してください

㉓Number Of Dependents (扶養家族数): 扶養家族の人数を入力してください

㉔Government ID Number (国際ID番号): パスポート(旅券)番号を入力してください

## 画面入力B

■ Permanent Address. ㉕～㉙を入力してください

Permanent Address

1-32-45-506, Sakanoue-cho	㉕住所:※(例)参照	Address2	㉖住所2:空白
Higashi-shi, Tokyo	㉗市区郡、都道府県: ※(例)参照	JAPAN	㉘国:「JAPAN」を選択 <small>Why isn't my country listed?</small>
0123456	㉙郵便番号	Select State	㉚州:未選択 <small>Why isn't my state listed?</small>
<input type="checkbox"/> My mailing address is different	㉛書類送付先と上記住所が異なる場合はチェック		

Contact Information

819012345678	813123456
--------------	-----------

<< Back    Save And Continue >>

㉔クリック

**㉜携帯電話番号:**  
 「国番号(81)」+ 携帯電話番号先頭の「0」を省いて入力

**㉝夜間連絡先:**  
 「国番号(81)」+電話番号先頭の「0」を省いて入力

### ※(例) 住所記入の例

〒012-3456	⇒	㉙ Zip Code	→ 0123456
東京都東市	⇒	㉗ City	→ Higashi-shi, Tokyo
坂之上町 1-32-45-506	⇒	㉕ Permanent Address	→ 1-32-45-506, Sakanoue-cho

※英数字で入力

㉕Permanent Address(住所1):「丁目-番地、町村」を入力してください

㉖Address 2(住所2):空白のまま

㉗City(市区郡、都道府県) :「市区郡、都道府県」を入力してください

㉘Select Country(国):「JAPAN」を選択してください

㉙Zip Code(郵便番号):「郵便番号」を入力してください

㉚State(州):未選択、「--Select State--」のまま

㉛My Mailing Address is Different: 空白のまま

(書類送付先と上記登録住所が異なる場合はチェックを入れてください(P15参照))

㉜Mobile Phone(携帯電話番号)「国番号(81)」+携帯電話番号先頭の「0」を省いて入力してください

㉝Evening Phone(夜間連絡先)「国番号(81)」+電話番号先頭の「0」を省いて入力してください

㉞「Save And Continue」をクリック

■ ⑬にチェックを入れた場合…

送付先に指定する住所を入力  
※送付先の住所証明書が別途必要になります

My mailing address is different

Mailing Address

City

Zip Code

Address 2

Select Country

Select State

※(例) 住所記入の例

〒012-3456 ⇒ ㉙ Zip Code → 0123456  
東京都東市 ⇒ ㉗ City → Higashi-shi, Tokyo  
坂之上町 1-32-45-506 ⇒ ㉕ Permanent Address → 1-32-45-506, Sakanoue-cho

## 入力画面 C

Open Account Online 1 Set-Up 2 Personal Info 3 Investment Profile 4 Agreement

5 Review & Submit

Thanks Taro Yamada . Now we need your financial Information

Employment:

Employment Status

Financial Information.

Primary Source of Income

Primary Source of Wealth

Annual Income

Net Worth

Liquid Assets

Tax Bracket

③就業状況: 「V」をクリックし、該当するものを一つ選択

<< Back Save And Continue >>

### ③Employment Status (就業状況)

Open Account Online 1 Set-Up 2 Personal Info 3 Investment Profile 4 Agreement 5 Review & Submit

Thanks yamada taro . Now we need your financial Information

Employment:

Employment Status

Employment.

- Employment Status
- Employed**
- Self Employed
- Retired
- Homemaker
- Student
- Unemployed
- Years Employed

「Employed(従業員)」「Self Employed(自営業)」「Retired(退職)」「Homemaker(主婦)」「Student(学生)」「Unemployed(未就業)」より選択

<< Back Save And Continue >>

Open Account Online    1 Set-Up    2 Personal Info    3 Investment Profile

4 Agreement    5 Review & Submit

Thanks Taro Yamada . Now we need your financial Information

Employment.

Employed

Occupation

Years Employed

Company Name

Type of Business

Business Address

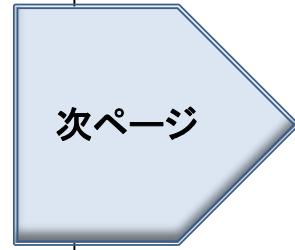
City

Select Country

Zip Code

Select State

Business Phone



## Employment Status(就業状況)で「Retired(退職)」「Homemaker(主婦)」を選択された方

Open Account Online    1 Set-Up    2 Personal Info    3 Investment Profile    4 Agreement

5 Review & Submit

Thanks Taro Yamada . Now we need your financial Information

Employment.

Homemaker

Financial Information.

Primary Source of Income

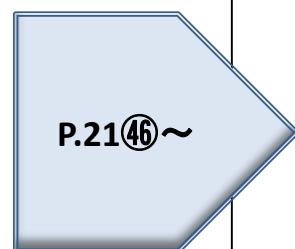
Primary Source of Wealth

Annual Income

Net Worth

Liquid Assets

Tax Bracket



## 入力画面 C

### ■ Contact Information . ⑩～⑯を入力してください

Thanks Taro Yamada . Now we need your financial Information

Employment.

Employed	⑯職業を選択 : (P19参照)
Sales	⑰勤続年数を入力
5	
ABC inc.	⑱会社名を英語で入力 (※)
education business	⑲業種を英語で入力
1-1-1,Sakanoue-cho	⑳勤務先住所 : 番地・町名を入力
Higashi-cho,Tokyo	㉑勤務先住所 : 市区郡・都道府県を入力
JAPAN	㉒JAPANを選択
012345	㉓勤務先住所の郵便番号 : 空白
Select State	㉔州 : 未選択
81678901234	㉕勤務先の電話番号を入力

※全て英語でご入力ください

⑯Occupation(職業) : 「職業」を選択してください

⑰Year Employed(勤続年数) : 勤続年数を数字で入力してください

⑱Company Name(会社名) : 会社名を英語表記で入力してください

(※)20文字しか入力できません

⑲Type of Business(業種) : 「業種」を入力してください

㉐Business Address(勤務先住所) : 「勤務先住所(番地・町名)」を入力してください

㉑City (市区郡、都道府県) : 「市区郡・都道府県」を入力してください

㉒Country (国) : 「JAPAN(日本)」を選択してください

㉓Zip Code (郵便番号) : 空白 (※6桁までしか入力できないため)

㉔State/Province(州) : 未選択、「--Select State--」のまま

㉕Business Phone (勤務先電話番号) : 「国番号(81)」+勤務先電話番号先頭の「0」を省いて入力してください

※詳細は  
次ページ

※勤務先名、勤務先住所に文字制限がございます

入力できるところまで入力してください

入力画面 C ⑬～⑮の入力（詳細）

⑯Occupation(職業)

Employment.

Employed

Occupation

- Accounting
- Agriculture
- Banking and Finance
- Clerical
- Construction
- Consulting
- Customer Service - Call Center
- Education
- Engineer
- Government
- Healthcare
- Hotel and Restaurant
- Information Technology
- Internet and E-commerce
- Legal
- Management and Executive
- Manufacturing
- Marketing
- Media - Journalism
- Non-profit
- Real Estate
- Retail
- Sales
- Science
- Security
- Sports
- Travel and Tourism
- Wholesale

Select State

⑯「V」をクリックし、該当する職業を一つ選択

- Accounting (会計士)
- Agriculture (農業)
- Banking and Finance (銀行員・金融)
- Clerical (事務)
- Construction (建設)
- Consulting (コンサルタント)
- Customer Service-Call Center (顧客サービス・コールセンター)
- Education (教育)
- Engineer (技術者)
- Government (政府関連)
- Healthcare (医療機関)
- Hotel and Restaurant (ホテル・レストラン)
- Information Technology (情報技術)
- Internet and E-commerce (インターネット・eコマース)
- Legal (法律関連)
- Management and Executive (経営)
- Manufacturing (製造)
- Marketing (マーケティング)
- Media- Journalism (広報)
- Non-profit (NPO)
- Real Estate (不動産)
- Retail (小売り)
- Sales (販売)
- Science (科学)
- Security (セキュリティ)
- Sports (スポーツ関連)
- Travel and Tourism (旅行関連)
- Wholesale (卸売業)

Employment.

Employed

Sales

5

ABC Inc.

⑰勤続年数を数字で入力

⑱会社名を英語で入力

(株)ABC

ACB(株)

ABC Co., Ltd または ABC Inc.

Sales	
5	
ABC Inc.	
Type of Business	<b>③⁹業種を英語で入力 (例)</b>
Business Address	経理: accounting
City	一般事務: administrative
Select Country	接客: sales attendant
Zip Code	営業職: sales
	教師: teacher
	医者: doctor
	役員: director

1-23-4. Sakanoue-cho	<b>⑩勤務先住所: 番地・町名を入力</b>
Higashi-shi, Tokyo	<b>⑪勤務先住所: 市区郡・都道府県を入力</b>
JAPAN	<b>⑫JAPANを選択</b>
	<b>⑬勤務先住所の郵便番号: 空白</b>
Select State	<b>⑭州: 未選択</b>
8131234567	<b>⑮勤務先の電話番号: 「国番号(81)」+電話番号先頭の「0」を省いて入力</b>

## 入力画面 C

■ Financial Information. ④⁶～⑤⁰を入力してください

**④⁶Primary Source of Income**  
(主な収入源)を選択(P22参照)

**④⁷Personal Income/Savingsを選択**

**④⁹Liquid Assets** (現金を含む金融資産)  
米ドル換算で選択してください(P23参照)

**④⁸Annual Income** (年収)  
米ドル換算で「年収」を選択してください (P22参照)

**⑤⁰Net Worth** (純資産)  
米ドル換算で「資産(不動産を含む(持ち家を除く))から負債(クレジットカードやローン)を差し引いた金額」を選択してください (P23参照)

**Tax Bracket** 「 Unknown(不明)」を選択

④⁶Primary Source of Income(主な収入源): 主な収入源より選択してください

④⁷Primary Source of Wealth (主な財産源):

「Personal Income/Savings(個人所得/貯蓄)」「Inheritance(相続)」

「Sale of Business(出資企業)」より選択してください

④⁸Annual Income (年収): 米ドル換算で「年収」を選択してください

④⁹Liquid Assets (現金を含む金融資産): 米ドル換算で「現金を含む金融資産」を選択してください

⑤⁰Net Worth (純資産):

米ドル換算で「資産(不動産を含む(持ち家を除く))から負債(クレジットカードやローン)を差し引いた金額」を選択してください

・Tax Bracket (税率区分): 「Unknown(不明)」を選択してください

「Save And Continue(保存と実行)」をクリックしてください

※詳細は  
次ページ

## 入力画面 C ④6～⑤0の入力（詳細）

### ④6 Primary Source of Income (主な収入源)

Financial Information.

- Primary Source of Income
- Job
  - Personal Savings
  - Spouse/Parent
  - Security
  - Inheritance
  - Investment
  - Retirement Plan
  - Real estate investment
  - Stock retirement annuity
  - Family wealth
  - Passive income
  - Royalties
  - Property income
  - Portfolio income
  - Other



### ④6 Primary Source of Income(主な収入源)を選択

- Job(賃金・報酬)
- Personal Savings(個人貯蓄)
- Spouse(配偶者)/ Parent(親)
- Security(社会保障年金)
- Inheritance(相続)
- Investment(投資)
- Retirement Plan(退職金)
- Real estate investment(不動産投資)
- Stock retirement annuity(企業年金)
- Family wealth(家族の資産)
- Passive income(受動的な収入)
- Royalties(印税)
- Property income(財産所得)
- Portfolio income(ポートフォリオ収入)
- Other(その他)

※「Retired(退職)」「Homemaker(主婦)」を選択された方は「Job(資金・報酬)」以外の選択肢からお選びください

### ④7 Primary Source of Wealth (主な財産源)

Financial Information.

Job

Primary Source of Wealth

- Personal Income/Saving
- Inheritance
- Sale of Business

Under Income

Net Worth

### ④7 Personal Income/Savingを選択

Liquid Assets

Tax Bracket

&lt;&lt; Back

Save And Continue &gt;&gt;

### ④8 Annual Income (年収)

Financial Information.

Job

Personal Income/Saving

Annual Income

- \$0 - \$24,999
- \$25,000 - \$74,999
- \$75,000 - \$199,999
- \$200,000 - \$499,999
- \$500,000 - \$999,999
- over \$1,000,000

<< Back

Save And Continue >>

### ④8 Annual Income

該当する年収を選択 (※ \$1 = 110円 計算)

- \$0 - \$24,999 (0円 ~ 274万円以下)
- \$25,000 - \$74,999 (275万円以上 ~ 824万円以下)
- \$75,000 - \$199,999 (825万円以上 ~ 2,199万円以下)
- \$200,000 - \$499,999 (2,200万円以上 ~ 5,499万円以下)
- \$500,000 - \$999,999 (5,500万円以上 ~ 1億999万円以下)
- Over \$1,000,000 (1億1,000万円以上)

#### ④Liquid Assets (現金を含む金融資産)

Job

Personal Income/Saving

\$25,000 - \$74,999

Net Worth

<< Back Save And Continue >>

Liquid Assets

- \$0 - \$19,999
- \$20,000 - \$49,999
- \$50,000 - \$149,999
- \$150,000 - \$499,999
- \$500,000 - \$999,999
- Over \$1,000,000

#### ④Liquid Assets

現金を含む金融資産を選択してください (※ \$1 = 110円 計算)

\$0 - \$19,999 (0円 ~ 219万円以下)

\$20,000 - \$49,999 (220万円以上 ~ 549万円以下)

\$50,000 - \$149,999 (550万円以上 ~ 1,649万円以下)

\$150,000 - \$499,999 (1,650万円以上 ~ 5,499万円以下)

\$500,000 - \$999,999 (5,500万円以上 ~ 1億999万円以下)

Over \$1,000,000 (1億1,000万円以上)

Financial Information

⑤Net Worth  
(純資産)

Personal Income/Saving

\$25,000 - \$74,999

\$20,000 - \$49,999

Net Worth

\$0 - \$19,999

**\$20,000 - \$49,999**

\$50,000 - \$149,999

\$150,000 - \$499,999

\$500,000 - \$999,999

Over \$1,000,000

\$50,000 - \$149,999

Tax Bracket

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#### ⑤Net Worth(純資産) (※ \$1 = 110円 計算)

資産(不動産含む(持ち家を除く))から負債(クレジットカードやローン)を差し引いた金額を選択してください

\$0 - \$19,999 (0円 ~ 219万円以下)

\$20,000 - \$49,999 (220万円以上 ~ 549万円以下)

\$50,000 - \$149,999 (550万円以上 ~ 1,649万円以下)

\$150,000 - \$499,999 (1,650万円以上 ~ 5,499万円以下)

\$500,000 - \$999,999 (5,500万円以上 ~ 1億999万円以下)

Over \$1,000,000 (1億1,000万円以上)

※④Liquid Assets と同額か、多く設定してください

#### Tax Bracket (税率区分)

\$50,000 - \$149,999

Unknown

<< Back Save And Continue >>

「Save And Continue」をクリック

「Unknown」を選択

## ■ Affiliation Information. 51~64を入力してください

Open Account Online 1 Set-Up 2 Personal Info 3 Investment Profile 4 Agreement

5 Review & Submit

### 加入者情報

Welcome Taro Yamada. Next, we need to know if you are affiliated with any of the following.

Affiliation Information:

Are you or a member of your household a director, 10% shareholder, or officer of a publicly traded company?

Yes  No **51.「No」を選択**

Are you or a member of your household affiliated or employed by a registered broker/dealer, insurance company, bank, investment adviser or any exchange or self-regulatory organization, including the SEC, FINRA, NFA or CFTC?

Yes  No **52.「No」を選択**

Is this application being submitted on behalf of a foreign shell bank?

Yes  No **53.「No」を選択**

Is this account owner affiliated with a foreign shell bank?

Yes  No **54.「No」を選択**

Does the account owner offer services to a foreign shell bank?

Yes  No **55.「No」を選択**

Have you ever been the subject of a bankruptcy proceeding, receivership, or similar action?

Yes  No **56.「No」を選択**

Have you ever been in a legal dispute, arbitration, or reparations action related to a securities or commodity account?

Yes  No **57.「No」を選択**

Have you ever closed, or left dormant, an account with an unpaid balance at a securities or commodity firm?

Yes  No **58.「No」を選択**

Have you or will you receive funds from any person or entity who is not an owner of this account that will be used to fund this account during the life of the account? (If you are going to fund the account at any time with someone else's money, then that person cannot do business with you.)

Yes  No **59.「No」を選択**

Is this application being submitted on behalf of a Foreign Financial Institution?

Yes  No **60.「No」を選択**

Is this application being submitted on behalf of a current or former senior political figure (see Definition) or an immediate family member or close associate? ⓘ

Yes  No **61.「No」を選択**

Ownership Disclosure:

Under rule 14b-1(c) of the Securities Exchange Act, required to disclose to an issuer the name, address and security position of our customer who are beneficial owner of that issuer's securities unless the customer objects. Please check here if you do NOT want ownership disclosed.

I have read and agree with **63.✓を入れる**

**64.「Save And Continue」をクリック**

<< Back Save And Continue >>

51~61.「No(いいえ)」を選択してください

62.空白のまま

63.「チェック」を入れてください

64.「Save And Continue(保存と続行)」をクリックしてください

## ■ Affiliation Information. 65～71を入力してください

### 口座の内容

Welcome Taro Yamada. Now consider the option-trading and margin-trading capabilities you wish to have for your account. Your option-trading experience should match your desired level of option trading. If you have no experience, you will not be able to attain the higher levels of option trading.

#### Account Privileges

Select an account profile:

CashMargin 

Cash 

65.「Cash」を選択

Will this be a day-trading account?:

Yes

No

66.「No」を選択

Will you trade OTC stocks in this account?:

Yes

No

67.「Yes」を選択

Please specify your desired option trading level for this account. Most levels of option trading capability require a speculative investment objective with the exception of Covered Calls. Please indicate your desired degree of option trading, below.

#### Specific Option Objective and Requested Option Trading Level

Choose one:

Objective: Income : Level 1:Write Covered Calls

Objective: Speculation : Level 2:Level 1+ Buy calls and puts

Objective: Speculation : Level 3:Level 2 + Debit Spreads

Objective: Speculation : Level 4:Level 3 + Credit Spreads

Objective: Speculation : Level 5:Level 4 + Uncovered Equity Options

I do not wish to add options trading capability to this

68.「Objective:Speculation

Level 2:Level 1 + Buy calls and puts」に✓を入れる

#### Previous Options Experience

### オプション取引経験について

Check all the apply  
(must check at least one box per column)

Using Equity Options

Using Index Options

I have traded a simple option(bought a call or put option) ...



I have traded a covered call option ...



I have traded a complex option strategy/spread or combination ...



69.✓を選択

I have traded an uncovered option (naked short) ...



70.✓を選択



I have no options experience ...



<< Back

Save And Continue >>

71.「Save And Continue」をクリック

65.「Cash(現金)」を選択

66.「No(いいえ)」を選択してください

67.「Yes(はい)」を選択してください

68.～70.「チェック」を入れてください

71.「Save And Continue(保存と続行)」をクリックしてください

## ■開設手続き(3 Investment Profile)

■ Affiliation Information. 72~79を入力してください

Open Account Online 1 Set-Up 2 Personal Info 3 Investment Profile 4 Agreement

5 Review & Submit

**72.Year :「投資年数を選択」  
1年以上を選択**

**73.Average Size :「100—500」を選択**

**74.Average Number of Transaction/Year :「1—50」を選択**

**75.設定を「0」に変更する**

**76.「投資目的の選択」  
✓を入れる**

**77.「メールでの書類受取」  
全てに✓を入れる**

**78.「他の金融機関からの振替」  
「No」に✓を入れる**

**79.「Save And Continue」をクリック**

Previous Investment Experience

	Average	Average Number of Transactions/Year	
Stocks:	10+	100-500	1-50
Options:	0	0	0
Bonds:	0	0	0
Mutual Funds	0	0	0

Investment Objective :

Please click on your most important investment objective. Certain objectives may be disabled because of previous input. Investing in penny stock, options (other than option level 1) and day trading of any security require an investment objective of "speculation". If speculation is not your objective and you wish to engage in this speculative activity, we are sorry we will not be able to accept your application.

**Objective**

- Investment Objective : Income. Risk Tolerance: Moderate. Time Horizon: Less than 5 Years:  
(An investment objective of Income indicates that you seek to generate income from investments and are interested in investments that have historically demonstrated a lesser risk of loss of principal value than for the other objectives. Some examples of typical investments might include high quality, short-term fixed income products and covered call options. You are solely responsible for determining that each investment you make is consistent with your investment objectives and risk profile. ChoiceTrade will not monitor this for you.)
- Investment Objective : Capital Appreciation. Risk Tolerance :Aggressive.  
(An investment objective of Capital Appreciation indicates that you seek to grow the principal value of your investments over time and are willing to invest in securities that have historically demonstrated an above average degree of risk of loss of principal value to pursue this objective. Some examples of typical investments might include common stocks, lower quality, medium-term fixed income products, equity mutual funds and index funds. You are solely responsible for determining that each investment you make is consistent with your investment objectives and risk profile. ChoiceTrade will not monitor this for you. If you choose this objective you will not be able to buy OTC(p)
- Investment Objective : Speculation. Risk Tolerance :Aggressive. Time Horizon. Less than 5 Years  
(An investment objective of Speculation indicates that you seek a significant increase in the principal value of your investments and are willing to accept a corresponding greater degree of risk by investing in securities that have historically demonstrated a high degree of risk of loss of principal value to pursue this objective, sometimes in excess of your principal investment. You may seek to take advantage of short-term trading opportunities, which may involve establishing and liquidating positions quickly. Some examples of typical investments might include lower quality, long-term fixed income products, initial public offerings, volatile or low priced common stocks, the purchase or sale of put or call options, spreads, straddles and/or combinations on equities or indexes, and the use of short-term or day trading strategies. You are solely responsible for determining that each investment you make is consistent with your investment objectives and risk profile. ChoiceTrade will not monitor this for you. If you wish to trade penny stocks or options (other than covered calls), or if you wish to day trade (any type of security) this is the only objective we will accept before your account is permitted to trade in these speculative securities.)

**Document Delivery:**

I agree to receive the following documents by email rather than postal mail (there are additional fees to receive paper documents by mail).

- Trade Confirmations by email.
- Account Statements by email.
- Proxy Statements by email.
- Prospectuses by email.
- Tax documents by email.

**Account Transfer :**

Will you transfer your account from another financial institution?  
(Please note that we do not accept non-DTC eligible stocks, no)

Yes     No

<< Back    Save And Continue >>

# ■開設手続き(4 Agreement)

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## ■ Affiliation Information. 80~83を入力してください

Open Account Online 1 Set-Up 2 Personal Info 3 Investment Profile 4 Agreement

5 Review & Submit

Thanks Taro Yamada. Now we need you to review and agree to some terms and conditions to each agreement below. (Please open each tab and check the box)

**Customer Agreement**

I have read and understood all information I have received from ChoiceTrade in connection with my application for an account.

**80. 「Customer Agreement」(アカウント管理) ✓を入れる**

I UNDERSTAND THAT THIS ACCOUNT IS GOVERNED BY AN ARBITRATION AGREEMENT WHICH IS SET FORTH IN PARAGRAPHS 18 AND 19 OF THE CUSTOMER AGREEMENT. I ACKNOWLEDGE RECEIPT OF THE ARBITRATION AGREEMENT AND THE CUSTOMER ACCOUNT AGREEMENT GOVERNING MY ACCOUNT.

I acknowledge that I have read and understand the Active Trading Risk Disclosure Statement, the Margin Disclosure Statement and the ChoiceTrade Privacy Statement.

I understand and acknowledge that (i) this account application is not made pursuant to any solicitation, (ii) ChoiceTrade is not affiliated with or an agent of its clearing firm, and (iii) nothing herein is an offer or solicitation of any security, product or service in any jurisdiction where their offer or sale would be contrary to local law or regulation.

I acknowledge that ChoiceTrade does not give investment, tax, legal or accounting advice with respect to the potential value or suitability of a particular security or investment strategy.

**Fee acknowledgement**

Some platforms involve a monthly Service Fee (please review the Commission and Fee Schedule). I acknowledge and agree to pay the Service Fee by authorizing ChoiceTrade to debit my account. I acknowledge that a finder's fee may be paid pursuant to agreement.

**New Account Application**

Options Agreement

**81. 「Options Agreement」をクリック (オプション契約について)**

Certification of Taxpayer ID number (Substitution of Taxpayer ID number for Tax Purposes)

**<< Back** **Save And Continue >>**

**Customer Agreement**

**New Account Application**

**Options Agreement**

**Vision** Options Supplement

If you wish to trade options in your Securities Account, please read, fill out, sign and return this Options Supplement. Please carefully read the information included in this Supplement, in particular the Options Account Customer Agreement, to be sure you fully understand the risks associated with trading options. Please also be sure to read a copy of the disclosure document entitled "Characteristics and Risks of Standardized Options", a copy of which you can obtain from your broker, Vision's Web site ([ページ 01](#) [ページ 10](#) [www.options.com/ibc](#)). If you have any questions, please be sure to speak with your broker.

I have read, understood and agree to the Options Supplement.

**82. ✓を入れる**

MATERIAL CHANGE IN MY FINANCIAL STATUS AND/OR INVESTMENT OBJECTIVES. I AGREE TO ALL KNOWLEDGE THAT I HAVE RECEIVED AND READ THE DISCLOSURE DOCUMENT, "CHARACTERISTICS & RISKS OF STANDARDIZED OPTIONS" AND AM AWARE OF THE SPECIAL RISKS INHERENT IN OPTIONS TRADING.

Download Agreement (optional)

CHARACTERISTICS & RISKS OF STANDARDIZED OPTIONS

Special Statement for Uncovered Option Writers

**83. 「Certification of Taxpayer ID number」をクリック (納税者ID番号の取り扱いについて)**

Certification of Taxpayer ID number (Substitution of Taxpayer ID number for Tax Purposes)

**<< Back** **Save And Continue >>**

## ■ Affiliation Information. 84~89を入力してください

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Customer Agreement  
New Account Application  
Options Agreement  
Certification of Taxpayer ID number (Substitute W-9) (please skip this section if you are not a U.S. Person for Tax Purposes)

Check  
Purposes] 84.✓を入れる that you are exempt from backup withholding. (please skip this section if you are not a U.S. Person for Tax

Under penalty of perjury I certify that:  
1. The number shown on this application is my correct taxpayer identification number (or I am waiting for a number to be issued to me) and

85.✓を入れる I agree with the above certification. I acknowledge that I must make the declaration contained in the perjury statement of the IRS form W8-BEN and that I make this declaration by my signature below. I hereby agree to submit my form W8-BEN electronically and permit ChoiceTrade to share it with its clearing firm.

<< Back Save And Continue >> 86.「Save And Continue」をクリック

Open Account Online 1 Set-Up 2 Personal Info 3 Investment Profile 4 Agreement 5 Review & Submit

Thanks Taro Yamada. Now we need you to review and approve the following data agreements.

NYSE/AMEX Exchange Agreement

NYSE and AMEX Agreement for Market Data Display Services(Non-Professional Subscriber Agreement)  
choicetrade.com (Vendor) agrees to make Market Data available to you pursuant to the terms and conditions set forth in this agreement. By manifesting your assent in the space indicated below, you (Subscriber) agree to comply with those terms and

I 87.✓を入れる received, read, understand and agree to be bound by the terms and conditions as set forth in Section 1 and 2 of the NYSE/AMEX agreement.  
Subscriber certifies he/she qualifies as a "Non professional Subscriber" as defined above.

Yes 88.「Yes」を選択

NASDAQ Exchange Agreement 89.「NASDAQ Exchange Agreement」をクリック  
Options (OPRA) Exchange Agreement  
Pink Sheets Exchange Agreement

<< Back Save And Continue >>

## ■ Affiliation Information. 90~98を入力してください

Open Account Online 1 Set-Up 2 Personal Info 3 Investment Profile 4 Agreement 5 Review & Submit

Thanks Taro Yamada. Now we need you to review and approve the following data agreements.

NYSE/AMEX Exchange Agreement  
NASDAQ Exchange Agreement

ON-LINE NASDAQ SUBSCRIBER AGREEMENT  
DISCLOSURE - PLEASE READ  
Subscribers to NASDAQ and ITP real-time data must sign The NASDAQ Stock Market, LLC (NASDAQ) Subscriber Agreement

I agree to be bound by the terms and conditions of the above agreement.  
Subscriber certifies he/she qualifies as a "Non professional Subscriber" as defined above.

Yes       No

Options (OPRA) Exchange Agreement  
Pink Sheets Exchange Agreement

<< Back Save And Continue >>

**90.✓を入れる**

**91.「Yes」を選択**

**92.「Options(OPRA)Exchange Agreement」をクリック  
(オプション株式交換契約について)**

Thanks Taro Yamada. Now we need you to review and approve the following data agreements.

NYSE/AMEX Exchange Agreement  
NASDAQ Exchange Agreement

Options (OPRA) Exchange Agreement

OPTIONS PRICE REPORTING AUTHORITY  
ELECTRONIC FORM OF SUBSCRIBER AGREEMENT  
IMPORTANT AGREEMENT (THIS AGREEMENT) IS AN AGREEMENT BETWEEN YOU AND THE Options Price Reporting Authority

I agree to be bound by the terms and conditions of the above Agreement.  
Subscriber certifies he/she qualifies as a "Non professional Subscriber" as defined above.

Yes       No

Pink Sheets Exchange Agreement

<< Back Save And Continue >>

**93.✓を入れる**

**94.「Yes」を選択**

**95.「Pink Sheets Exchange Agreement」をクリック  
(ピンクシート株式交換契約)**

Pink Sheets Exchange Agreement

PINK SHEETS SUBSCRIBER AGREEMENT  
ELECTRONIC FORM OF CUSTOMER AGREEMENT FOR PINK SHEETS MARKET DATA  
THIS AGREEMENT (THE "AGREEMENT") governs the relationship between the Subscriber and the Vendor, each as identified below.

I agree to be bound by the terms and conditions of the above Agreement.  
Subscriber certifies he/she qualifies as a "Non professional Subscriber" as defined above.

Yes       No

<< Back Save And Continue >>

**96.✓を入れる**

**97.「Yes」を選択**

**98.「Save And Continue」をクリック**

## ■開設手続き(5 Review & Submit)

### ■Review Application

Open Account Online	1 Set-Up	2 Personal Info	3 Investment Profile	4 Agreement
<b>5 Review &amp; Submit</b>				

Next, Taro Yamada please review your information.

#### Review Application

##### Registration :

Citizenship Status : non\_resident

Type of Account : individual

How did you hear about us : Friend

Promotion Code : N/A

##### Personal Info : [Edit>>](#)

Title : Mr.

First Name : Taro

Middle Initial : N/A

Last Name : Yamada

Tax ID# :

Date of Birth : 04/24/1980

Marital Status : Married

Number of Dependents : 2 2

##### Permanent Address : [Edit>>](#)

Permanent Address : 1-32-45-506,Sakanoue-cho

Address 2 :

City : Higashi-shi,Tokyo

State :

Zip/Postal Code : 0123456

Country : JAPAN

##### Mailing Address : [Edit>>](#)

Same as Permanent Address

##### Contact Info : [Edit>>](#)

Day Time Phone: : 819012345678

Evening Phone : 813123456

Email : taro@yahoo.co.jp

メールアドレスの確認

※誤っている場合は「Edit>>」ボタンを押し、該当する箇所を修正してください

##### Employment : [Edit>>](#)

Employment Status : Employed

Occupation : Sales

Number of Years Employed: : 5

Employer Company Name ABC Inc.

##### Financial Info : [Edit>>](#)

Primary Source of Income : Job

Annual Income : \$0 - \$24,999

Liquid Assets : \$20,000 - \$49,999

Net Worth : \$20,000 - \$49,999

### Affiliations : [Edit>>](#)

Member of your household, a director, 10% shareholder, or officer of a publicly traded company? : NO

Member of your household, affiliated or employed by a registered broker/dealer, an insurance company, bank, Investment Advisor or

any exchange or self-regulatory organization, including the SEC, FINRA, NFA or CFTC? : NO

Is this application being submitted on the behalf of a foreign bank? : NO

### Account Privileges : [Edit>>](#)

Investment Account Type : Cash Account Options

### Account Transfer : [Edit>>](#)

Will you transfer your account from another financial institution? : NO

### Previous Investment Experience : [Edit>>](#)

#### Stocks :

Years : 10+

Average Size : 100-500

Average Number of Transactions/Year : 1-50

#### Options :

Years :

Average Size :

Average Number of Transactions/Year :

#### Bonds :

Years : 0

Average Size : 0

Average Number of Transactions/Year : 0

### Previous Options Experience : [Edit>>](#)

	Stock Options	Index Options
Buying (Includes Debit Spread and some Straddles):	NO	NO
Covered Writing:	NO	NO
Spreads:	NO	NO
Uncovered Writing:	NO	NO
None:		

### Investment Objectives : [Edit>>](#)

Investment Objective: Speculation; Risk Tolerance: Aggressive.. Time Horizon: Less than 5 Years

### Specific Option Objective and Requested Option Trading Level : [Edit>>](#)

Objective: Speculation; Level 2: Level 1+ Purchases

[<< Back](#)

[Save And Continue >>](#)



99.「Save And Continue」をクリック

99. 入力内容を確認後、「Save And Continue(保存と続行)」をクリックしてください

Open Account Online	1 Set-Up	2 Personal Info	3 Investment Profile	4 Agreement
5 Review & Submit				

## Sign and Submit

You have completed and accepted the following documents, agreements and disclosures.

New Account Form

NYSE/AMEX Exchange Agreement

Options Agreement

NASDAQ Exchange Agreement

Options Exchange Agreement

Pink Sheets Agreement

I represent that I have read, understand and agree to the Terms and Conditions governing this account as contained in the Customer Agreement. I agree to be bound by such Terms and Conditions as currently in effect and as may be amended from time to time without prior notice to me. I warrant that all the information I have entered on my application is correct to the best of my knowledge and belief. If there is a change in the future, I agree to promptly notify ChoiceTrade in writing. I acknowledge that I have read and understand the Active Trading Risk Disclosure Statement, the Margin Disclosure Statement and the ChoiceTrade Privacy Statement.

I understand and acknowledge that (i) this account application is not made pursuant to any solicitation, (ii) ChoiceTrade is not affiliated with or an agent of its clearing firm, and (iii) nothing herein is an offer or solicitation of any security, product or service in any jurisdiction where their offer or sale would be contrary to local law or regulation.

I acknowledge that ChoiceTrade does not give investment, tax, legal or accounting advice with respect to the potential value or suitability of a particular security or investment strategy.

Some platforms involve a monthly Service Fee (please review the Commission and Fee Schedule). I acknowledge and agree to pay the Service Fee by authorizing ChoiceTrade to debit my account. I acknowledge that a finder's fee may be paid pursuant to agreement.: specialmsg Some platforms involve a monthly Service Fee (please review the Commission and Fee Schedule). By signing below, I acknowledge and agree to pay the Service Fee by authorizing ChoiceTrade to debit my account. I acknowledge that a finder's fee may be paid pursuant to an agreement.

I UNDERSTAND THAT THIS ACCOUNT IS GOVERNED BY AN ARBITRATION AGREEMENT WHICH IS SET FORTH IN PARAGRAPHS 18 AND 19 OF THE CUSTOMER AGREEMENT. I ACKNOWLEDGE RECEIPT OF THE ARBITRATION AGREEMENT AND THE CUSTOMER ACCOUNT AGREEMENT GOVERNING MY ACCOUNT.

## Document Upload

You must submit the following required document(s). To avoid delays with your application, we prefer that you scan and upload your documents in PDF or image (jpg/img) format below. If you do not have the documents handy, please click the box below and email, fax or snail mail them to us. You can also log back into the application later to submit the documents. To log back in later, please click HERE and log in with your email address and secret word. (Please note that this log in is only to manage your account application. Once a new account number is issued to you, you will receive your trading log in ID and password.)

I will **証明書類を後で送付する場合は✓をいれて  
100.(P34)に進んでください**

## Government Identity Card

Please submit a copy of your government-issued photo ID such as a passport, national identity card or driver's license. Please do not send expired IDs as we will not be able to accept them. If the ID you submit does not contain your address or it contains your old address, then please also submit a current utility bill such as a gas, electric or water bill that contains your name and current address.

## Upload

**101. 証明書類のPDFをアップロードしてください**

Upload or Drag & Drop Files

Primary Account Owner

Print your name

Draw your signature

Draw It Clear

<< Back Save And Continue >>

**102. 氏名を英語で入力してください  
(例) Taro Yamada**

**103. 署名**

**104. 「Save And Continue」をクリック**

※詳細は  
次ページ

■ We prefer that you [convert all documents to PDF](#) before submitting with this form.

101.証明書類をアップロードしてください

We prefer that you [convert all documents to PDF](#) before submitting with this form.

**Upload**

**101.クリック**

101.Upload(アップロード) : 証明書類(P3①と②)を添付してください

※クリック後の画面はパソコンやタブレットにより異なりますので、画面に従い操作してください  
※証明書類を添付する場合、PDFを推奨しております

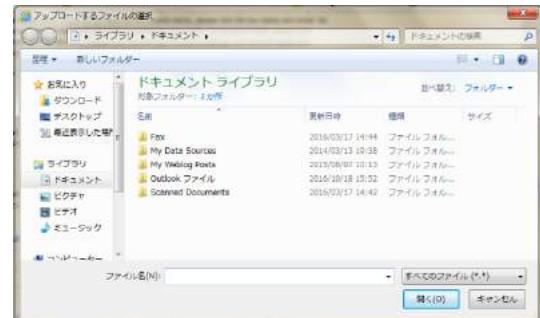
例:Android タブレット



写真機能…書類を撮影し、アップロードしてください

保存先…保存先から対象の書類を選択し  
アップロードしてください

例:パソコン



保存先…保存先から対象の書類を選択しアップロードしてください

例:アップロードされたデータの表示

**Upload**

Upload or Drag & Drop Files

2). Screenshot\_2016-10-14-13-25-43.jpeg

Abort

1). Screenshot\_2016-10-14-13-26-06.jpeg

Done

※アップロードは4つまでとなります  
容量は合計で3MBまでとなります



誤ってアップロードしてしまった場合

誤ってアップロードされた場合は画面左下の  
前画面に戻らないと削除できません

<< Back

ボタンで

<< Back

で前画面に戻りP30～P31から手続きを行ってください



### 注意

操作途中に誤ってボタンを押してしまうと、手続き終了画面に移り、このページに戻ることができません  
書類のアップロードや、署名欄が抜けていると不備となりますので、ご注意ください

[Save And Continue >>](#)

※誤って手続き終了画面になってしまった場合は、Choice Tradeからの連絡をお待ちください

Print your name

Draw your signature

Draw It

[Clear](#)

山田太郎

<< Back
Save And Continue >>

102. 氏名を英語で入力してください  
(例) Taro Yamada

103. 署名

104. 「Save And Continue」をクリック

102. Print your name(氏名) : (名→姓の順で)「氏名」を入力してください

103. Draw your Signature(署名) : マウスや指を利用して枠内に署名をしてください

削除したい場合は「Clear」をクリックしてください

104. 「Save And Continue」をクリックしてください

申込手続きは完了です

# 手続き終了画面

Open Account Online

1 Set-Up    2 Personal Info    3 Investment Profile    4 Agreement

5 Review & Submit

Welcome to ChoiceTrade

**申込確認番号**

Congratulations! You have successfully opened an account at ChoiceTrade. There are just a few more steps and you can begin trading. Your application confirmation number is : 724590944414 (this is not your account number).

ChoiceTrade will review your account application and approve the account for funding. If you applied for margin and options trading capability, those applications will also be reviewed by ChoiceTrade. The account approval process usually occurs within a matter of hours – although if an account is opened at off-peak hours, such as 2am on a Sunday morning, the process will take a little bit longer.

**Account Funding**

Once your account is approved and you have an account number, you can immediately fund it. Please [Click Here](#) for funding instructions.

**ATTENTION: Additional Forms May Be Required! Please follow the instructions below if you have not already submitted them.**

In order to complete the processing of your account, we need you to complete or include the following form(s), if any, and return them to us by mail, fax or email. You may also upload the document directly to us with the form below. Please [convert all documents to PDF](#) before submitting with this form.

Mail or Fax Forms To:

ChoiceTrade  
197 State Route 18  
Suite: 3000  
East Brunswick, NJ 08816  
fax: (732) 514-6765 or  
email: service@choicetrade.com

**Government Identity Card**

Please submit a copy of your government-issued photo ID such as a passport, national identity card or driver's license. Please do not send expired IDs as we will not be able to accept them. Important Note for Domestic (U.S.) customers: If you submit an ID that does not have your address or has an old address, or if the address on your application does not match the address on your ID, please also upload or email a utility bill as proof of current address..

ClearingFirm Test

**Upload**

Upload or Drag & Drop Files

こちらのページからは書類をアップロードできません

## ○申込確認番号

※確認メールが受け取れない場合の  
不備対応に備えて、申込確認番号をご記入  
ください

申込確認番号:

P3に記入した登録メールアドレスに、Choice Tradeからメールが届きます  
メールが届いていることを確認してください

数日経ってもメールが届かない場合は、入力されたメールアドレスが正しく設定されていない可能性があります

## 105.登録したメールアドレスに2件のメールが、直ぐに届きます

### メール①

client:

Below are several important disclosures that you should print, read and retain for your records :

Options Disclosure <http://choicetrade.com/legal.php#disclosures>

Margin Disclosure <http://choicetrade.com/legal.php#disclosures>

Active Trading and Extended Market Risk Disclosure <http://choice trade.com/legal.php#disclosures>

Special Statement for Uncovered Option Writers <http://www.choicetrade.com/forms/Opti ons-UncoveredStmt.pdf>

THIS APPLIES ONLY TO OPTIONS CUSTOMERS: Please note that buying options is very risky. Option buyers generally lose money and many lose their entire investment within a short period. Please invest only as much as you can afford to lose entirely without your lifestyle being affected. Uncovered options, individually or as part of an option strategy, have special risks, and the potential for you to incur large losses, possibly greater than the value of your entire account. If you write covered calls, please pay special attention to risk #8 of the Uncovered Statement. SPECIAL NOTE REGARDING EXPIRING OPTIONS: Options that close "in the money" are automatically transacted at expiration. If your account holds one or more option positions that are either due to be automatically exercised or assigned at expiration, PLEASE NOTE THAT THE BUYING POWER FOR YOUR ACCOUNT MUST BE SUFFICIENT TO COVER THE FULL COST OF EITHER BUYING IN THE STOCK (IN THE CASE OF A LONG CALL OR SHORT PUT), OR DELIVERING OUT THE STOCK (IN THE CASE OF A SHORT CALL OR LONG PUT). Accounts that have insufficient buying power to cover such transactions must close out the option positions in question BEFORE EXPIRATION. In the case of long option positions, orders should be placed to sell such options even if the option is presently out of the money. ChoiceTrade may place a trade to liquidate option positions in your account. If such a action is taken, there will be a \$50 sell-out surcharge assessed to each order that executes. Additionally, please note that your account may be temporarily locked to prevent cancellation of such orders. Your account will be unlocked.

### (概要)

#### 親愛なるクライアント

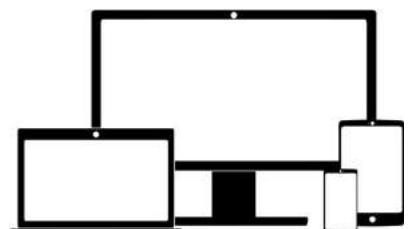
##### (重要なご案内)

- ・オプション取引についての開示は下記URLをご覧ください
- ・マージン取引についての開示は下記URLをご覧ください
- ・積極取引と拡大市場リスクの開示

オプション取引を希望された方にのみ適用します。

オプション取引はリスクをともないます。短期間に投資金を失う可能性もあります。

.....



## メール②

investor,

Just a quick note to acknowledge that we received your application for a ChoiceTrade trading account. If you have not already either uploaded or emailed your government-issued picture ID to us, we ask that you please do so now.

**U.S. customers** are requested to please make sure that the address on their driver's license matches the address they noted on the application. If not, then please send us a recent utility bill that contains your name and current address, in addition to your ID.

**Non-U.S. customers** are requested to please send their passport copy with address that matches their address on the application. If your passport does not contain your address, please also send another document that does contain your address, like a driver's license, voting card or utility bill (but not a bank statement). (The documents will need to be in English please, otherwise a translation will be necessary before you send them to us).

The account approval process normally occurs within a matter of hours -- although if an application is submitted after 4pm EST, it may take longer. Please check your inbox for an email from us.

(概要)

In the me

投資家の皆様

If you pla  
d return i

申請書を受取りました。もし証明書類を添付頂いていない場合は、このメールに添付してメールしてください。

The vast  
nt transfe

非米国のお客様は申請書に記入された住所と同一の住所が記載されたパスポートコピーをお送りください。もしパスポートに住所の記載が含まれていない場合は、住所が含まれている書類、公共料金の請求書、運転免許証、投票カード等を送ってください。(日本語書類と、英語翻訳がされた書類が両方必要です)

If you ant

We appre  
possible.

Thank yo

The Cho

証券口座開設は数時間以内に行われますが、混雑状況により時間がかかる場合があります。証券口座開設手続きが終了しましたら、証券番号が割り当てられ、別途メールを送ります。



## &lt;証明書類を送っていない場合&gt;

※※証明書類を準備し、「Choice Trade」から来たメールに添付して返信してください※※

## 【メール文面】

Dear Choice Trade Client Service

I send my document with this mail.

Please confirm it.

Thank you

&lt;名前&gt; ※アルファベットで入力

## ■ 申込完了後の流れ

### メールの確認

メールサンプル(P39~)を確認いただき、内容をご確認ください

### Username/Passwordの発行

別紙「Choice Trade 証券口座開設後の手続きについて」を参照し、発行されたUsernameとPasswordでログイン設定を行ってください

### 取引準備完了

入金・トレードをインターネットにアクセスして自由に行う事ができます

別途、Choice TradeおよびVision(ビジョン...トレード実行、決済およびその他の関連サービスを実行します)より証券口座開設についての書面が郵送されてきます

大事に保管ください

## ■Choice Tradeからのメールについて

申込手続き完了後、書類が受理された場合や、書類不備や追加書類を提出する必要がある場合、後日Choice Tradeからメールが届きます  
Choice Tradeから届くメールを確認し、案内に沿ってご対応ください

### 書類が受領された場合

Dear Client,  
 We have sent your application for (final) review.  
 Thank you  
 (送っていただきました申込書を(最終)審査します。)

### または

Dear Client,  
 We have sent your document for processing.  
 Thank you  
 (送っていただきました書類を処理します。)

 不備の場合は、不備内容がメール本文に記載されています  
 メールをそのまま放置せずに確認してください

### <主な不備について>

- ①送ったパスポート画像が切れている
- ②公共料金等の請求書の翻訳を添付し忘れていた
- ③公共料金の請求書を添付し忘れていた
- ④追加書類を求められた
- ⑤銀行の残高証明書(※英文)のみ送っていた
- ⑥その他不備
- ⑦メールアドレスを間違えて登録してしまった
- ⑧メール2通(P36-37)は届いているが、その後Choice Tradeから連絡がない
- ⑨追加情報を求められた
- ⑩最終審査(Final review)のメールは届いたが、ユーザーネームとパスワードが発行されない
- ⑪登録したメールアドレスと違うメールアドレスでChoice Tradeに返信してしまった

※詳細は次ページから

メール本文中の項目をご確認いただき、返信例を参考にご対応ください  
**\_\_\_\_\_が重要確認事項です**

## ①送ったパスポート画像が切れている

Dear Client,  
 Please re-send your passport. **The copy you sent was cut off.**  
 In addition, please provide either a utility bill, cable bill, credit card bill, or mobile phone bill to verify your address.  
 Thank you.  
 (送っていただいたパスポートのコピーが切れているので再送してください。  
 それに加えて、住所が確認できる公共料金、クレジットカード、携帯電話等、いずれかの請求書を提出してください。)

→パスポート等の証明書類を再度メールに添付し、Choice Tradeから届いたメールへ返信ください  
 返信例はこちら↓



Dear Choice Trade Client Services  
 I attached my document with this mail.  
 Please kindly confirm it.  
 Thank you  
 Taro Yamada (※ご自身の名前)

(書類を添付します。ご確認ください。)

## ②公共料金等の請求書の翻訳を添付し忘れていた

Dear Client,  
**Please have this bill translated**, and signed or stamped by a translator verifying the translation.  
 Thank you  
 (提出いただきました書類を翻訳してください。)

→公共料金等の請求書の翻訳をメールに添付し、Choice Tradeから届いたメールへ返信ください  
 返信例はこちら↓



Dear Choice Trade Client Services  
 I attached my document with this mail.  
 Please kindly confirm it.  
 Thank you  
 Taro Yamada (※ご自身の名前)

(書類を添付します。ご確認ください。)

### ③公共料金の請求書を添付し忘れていた

Dear Client,  
 If possible, please provide a copy of a utility bill to verify your address.  
 If you do not have one, please let us know via email.  
 Thank you  
 (あなたの住所が確認できる公共料金の請求書コピーを送ってください。  
 もしお持ちでない場合は、理由を返信メールにてお知らせください。)

→公共料金の請求書をメールに添付し、Choice Tradeから届いたメールへ返信ください  
 返信例はこちら↓



Dear Choice Trade Client Services  
 I attached my document with this mail.  
 Please kindly confirm it.  
 Thank you  
 Taro Yamada(※ご自身の名前)  
 (書類を添付します。ご確認ください。)

※公共料金以外の請求書(例:携帯電話やクレジットカード等)を添付する場合  
 返信例はこちら↓



Dear Choice Trade Client Services  
 These charges are paid by the credit card and utility bills are sent by email, so no bills are delivered to my address.  
 Thank you  
 Taro Yamada(※ご自身の名前)  
 (公共料金の請求書はクレジットカードで自動引落しであり、メールで送られてくるため、自宅住所には届きません。)

### ④追加書類を求められた

Dear Client,  
 Please provide a copy of a \*bank statement to verify your name and address.  
 Thank you  
 (あなたの名前と住所が記載された英文の\*(例)残高証明書のコピーを提出してください。)

→\*指定された証明書類を添付し、Choice Tradeから届いたメールへ返信ください  
 返信例はこちら↓



Dear Choice Trade Client Services  
 I send you my \*bank statement.  
 Please kindly confirm it.  
 Thank you  
 Taro Yamada(※ご自身の名前)  
 (書類\*(例)残高証明書を添付します。ご確認ください。)

## ⑤銀行の残高証明書(※英文)のみ送っていた

Dear Client,

Thank you for your account application.

Please provide a copy of your current utility bill to verify your residential address.

It must have your name and address, the same address you gave us on your account application.

Please do not send a bank statement. We would like only a utility bill, like a gas or electric or water bill.

ONLY if you do not have a utility bill, please explain in a return email why you do not have one, and then send us your bank statement or other proof of current address.

If your documents are not in English, they must be translated line by line, word for word, by a licensed translator and signed and stamped as a true translated copy by the official translator.

Thank you

(お申込みいただきありがとうございます。

あなたの住所を確認する為、公共料金の請求書をご提出ください。英文の残高証明書は提出いただかなくて結構です。ガスや水道、電気料金等、公共料金の請求書を送ってください。もし、公共料金の請求書をお持ちでない場合は、その理由を返信メールへご入力いただき、住所が記載されている英文の残高証明書や他の証明書をお送りください。提出書類(公共料金の請求書)が英語でない場合は、正確に(フォーマット通りに)翻訳ください。)

→公共料金の請求書と翻訳をメールに添付し、Choice Tradeから届いたメールへ返信ください  
返信例はこちら↓



Dear Choice Trade Client Services  
I attached my document with this mail.  
Please kindly confirm it.  
Thank you  
Taro Yamada (※ご自身の名前)

(書類を添付します。ご確認ください。)

## ⑥その他－1（職業について）

Dear Client,  
Please clarify the nature of your business.  
 What type of \*sales do you do?  
 Thank you  
 (あなたの職業を明確にして下さい。  
 あなたは何の\*(例)セールスをしていますか？)

→申込手続き時に入力いただいた職業が具体的でない場合、詳細を問われることがあります  
 業種を追記し、Choice Tradeから届いたメールへ返信ください  
 返信例はこちら↓



Dear Choice Trade Client Services  
 I am doing a \*〇〇〇 sales.  
 Thank you  
 Taro Yamada (※ご自身の名前)  
 (私は\*(例)〇〇〇のセールスをしています。)

## ⑥その他－2（入力間違いについて）

Dear Client,  
Please confirm your date of birth. The date you listed on your application does not match the date that your passport shows.  
 Thank you  
 (申込書に記入いただきました、あなたの誕生日がパスポートと同一ではありません。  
 ご確認ください。)

→入力フォームに誕生日を間違えて入力してしまった場合、Choice Tradeから届いたメールへ  
 正しい情報を返信ください  
 返信例はこちら↓



Dear Choice Trade Client Services  
 Thank you for your mail.  
 My Date of birth is 〇〇(月)/〇〇(日)/19〇〇 same date as my passport.  
 Please update my information.  
 Thank you  
 Taro Yamada (※ご自身の名前)  
 (ご連絡ありがとうございます。  
 私の誕生日はパスポートと同じ19〇〇年〇月〇日です。  
 更新をお願いします。)

## ⑦メールアドレスを間違えて登録してしまった (メール2通(P36-37)が登録したメールアドレスに届かない)

→Choice Trade ([service@choicetrade.com](mailto:service@choicetrade.com))へ正しいメールアドレスをお送りください  
送信例はこちら↓



Dear Choice Trade Client Services  
I had opened my account whose was \*(例)123456789012,  
but this email address was incorrect.  
Please correct it to \*\*(例)abc@gmail.com .  
I apologize for the stupid mistake.

Best regards,  
Taro Yamada (※ご自身の名前)

(私は証券口座\*(例:ご自身の申込確認番号をご入力ください。)の開設手続きをしましたが、登録したメールアドレスが間違っていました。  
正しいメールアドレスは\*\*(例:ご自身のメールアドレスを正確にご入力ください。)です。訂正をお願いします。)

## ⑧メール2通(P36-37)は届いているが、Choice Tradeから何も連絡がない

→メール2通(P36-37)が届いてから1週間 何も連絡がない場合は、Choice Tradeへ進捗状況を確認してください  
返信例はこちら↓



Dear Choice Trade Client Services  
I had opened my account last week, but I haven't got a reply from you yet.  
I'm worried that I couldn't get my application for review.

Could you check my situation?  
If there is something I have to submit more information,  
please let me know.

Thank you  
Taro Yamada (※ご自身の名前)

(私は先週証券口座を開設しましたが、まだ貴社から何も連絡がありません。お手数おかけしますが、私の進捗状況を確認してください。もし、不備がありましたらご連絡ください。)

## ⑨追加情報を求められた

Dear Client,

As part of our account opening process. Please answer all of the following questions:

How did you hear about us? (チョイストレードをどこで知りましたか?)

What type of securities will you trade? (どの種類の証券を取引しますか?)

What is the typical size of your orders? (1取引あたりの株数はどのくらいですか?)

What will be the amount of your initial funding to your account?

(口座への最初の入金額はどれくらいですか?)

In which country are you physically located? (あなたはどこの国に在住していますか?)

Are you trading from your home, mobile device, office?

(あなたは自宅、携帯機器、仕事先から取引しますか?)

Are you going to be trading along side of others or by yourself?

(あなたは他人と一緒に取引をしますか、それともご自身で取引をしますか?)

Is anyone going to advise you what to buy or sell?

(誰かあなたに売買のアドバイスをする人がいますか?)

Will you be placing the orders yourself? (あなたは自分で注文をしますか?)

Any additional information you can provide would be appreciated so that we can better understand the type of trading to expect.

Thank you.

→Choice Tradeから追加情報を求められています

※ユーザー名・パスワード発行後に送られてくる場合もあります

Choice Tradeから届いたメールへ返信ください

返信例はこちら↓



Dear Choice Trade Client Services

How did you hear about us?

**Friend.**

What type of securities will you trade?

**Stock.**

What is the typical size of your orders?

**100-500 averagely.**

What will be the amount of your initial funding to your account?

**About \$3,000.**

In which country are you physically located?

**Japan.**

Are you trading from your home, mobile device, office?

**Home or mobile device.**

Are you going to be trading along side of others or by yourself?

**By myself.**

Is anyone going to advise you what to buy or sell?

**No.**

Will you be placing the orders yourself?

**Yes.**

Best regards,

Taro Yamada (※ご自身の名前)

## ⑩最終審査(Final review)のメールは届いたが、ユーザー名とパスワードが発行されない

→Choice Tradeから届いたメールへ返信ください  
返信例はこちら↓



Dear Choice Trade Client Services  
I got a reply from you on \*(例)Aug 10th, but I haven't got Username and Password yet.  
Is there anything wrong for my final review?  
Could you check my situation?  
Thank you

Best regards,  
Taro Yamada (※ご自身の名前)

(チョイストレードからの返信が\*(例:8月10日)にありましたが、まだユーザー名とパスワードが発行されません。  
何か不備があるのでしょうか？状況を確認してください。)

## ⑪登録したメールアドレスと違うメールアドレスでChoice Tradeに返信してしまった

Dear Client,  
This email is not linked to an application.  
Thank you  
(このメールアドレスは申込書と関連性がありません。)

→Choice Tradeから届いたメールへ返信する時は必ず開設手続き時に登録したメールアドレスで返信ください  
返信例はこちら↓



Dear Choice Trade Client Services  
Thank you for your reply.  
I sent email to you from a different email address.

※※問合せ内容を続けてご入力ください※※

Best regards,  
Taro Yamada (※ご自身の名前)

(違うメールアドレスで送ってしまいました。  
※※問い合わせ内容※※)

# — MEMO —

●米国証券口座開設についてよくある質問を  
下記URLにまとめさせていただきました。  
ご確認ください。

<http://gaikokukabu.capital-consulting.info/>

●米国証券口座開設についてのご質問は  
メールにてお問合せください。

メールアドレス：[ibc.shigeyoshi@gmail.com](mailto:ibc.shigeyoshi@gmail.com)

IBC Consulting, LLC

※内容は予告なく更新する場合があります