

Firstrade **証券口座**
開設マニュアル

Ver2.0

◆ 目次

■ Firstrade証券口座の開設について	P3-P4
■ Firstradeへのアクセス方法	P5
■ 開設手続き (Online Account Application)	P6
■ 開設手続き (1 Account Type and Features)	P7
■ 開設手続き (2 Personal Profile)	P8～P22
■ 開設手続き (3 Investment Profile)	P23～P24
■ 開設手続き (4 Review & Sign)	P25～P30
■ 書類のダウンロード方法	P31～P33
■ 書類のアップロード方法	P34～P40
■ 送金先情報	P41～P44

Firstrade 証券口座の開設について

◆Firstrade(ファーストレード)とは◆
アメリカのインターネット証券会社です

◆Firstradeの特徴◆

- ・無料で口座開設が出来る
- ・米国非居住者でも口座開設が可能
- ・オンラインで取引が可能

◆注意事項◆

Firstradeは日本語窓口がなく、英語のみの対応となります

また、2013年11月に日本人を含む特定国籍者の新規口座開設を一時停止していた過去があります

口座開設手続きは自己の費用と責任において行うものとし、いかなる場合においても当社は一切の責任を負いかねますことをご了承ください

尚、当マニュアルに記載の情報と、実際の手続き方法が異なってくる場合がございます

マニュアルに関するクレームは一切お受けいたしかねますので、予めご了承ください

■開設する前に

口座開設するためには

- ・パスポート
- ・パソコン
- ・プリンター(書類を印刷します)
- ・スキャナー(書類をスキャンし、PDF化します)



上記の環境が整っていないと口座開設ができません

◆口座開設の流れ◆

①オンライン上で申込フォームに情報を入力して申込む

※途中で申込手続きを中断した場合、入力内容は保存されません

最初から申込手続きを行ってください



②申込用紙(PDF)を2枚印刷し、署名をする



③「Firsttrade」へログイン



④申込用紙(2枚) + パスポートのコピーをアップロードする

■ 証券口座開設手続きにあたり、以下の情報をご記入ください

○ 登録するメールアドレス

※ フューチャーフォン(@ezweb.ne.jp @docomo.ne.jp @softbank.ne.jpなど)のメールアドレスはFirsttradeからのメールが受け取れない可能性があるため使用しないでください

※※個人情報となりますので自己責任の上ご記入いただき、ご自身での管理を徹底してください

メールアドレス (例:taro.yamada@gmail.com)

■ Firstradeへのアクセス方法

検索サイトに「Firstrade」と入力し、検索ボタンを押してください
 または、<https://www.firstrade.com/content/en-us/welcome>にアクセスしてください

ウェブ 検索 紙面 評論 知恵袋 地図 ライアルタイム 一覧

Firsttrade 「Firstrade」と入力

Q. 検索 検索結果

YAHOO! JAPAN

ログイン

firstrade 証券 firstrade 資本市場 firstrade 証券 firstrade 出走 firstrade 出走 で検索

Online Stock Trading, Discount Online Brokerage - Firstrade... クリック

www.firstrade.com - ホーム

Online stock trading with Firstrade, a leading online discount brokerage. Let us help with stock trading, options trading, mutual funds, bonds. There are no minimum to start investing, and online stock trades are just \$2.95.

Firstrade - About Firstrade - Switch to Firstrade - Firstrade Navigator

Welcome to Firstrade

Invest Firstrade.com - キャッシュ

Recommended browser: Language Services 梶賀 雄洋 Please review our...

ファーストレード証券

米国アリババ中国 ニューヨーク州 ニューヨーク
設立: 1985年

ファーストレード証券は、米国オンライン証券会社である。

Firstrade (ファーストレード証券) の口座開設方法や手数料など全般...
firstrade.discount.com - キャッシュ

Firstrade (ファーストレード証券) の口座開設や手数料、出走、ACH、投資ツール、会社概要 等の情報をまとめました。このサイトを見ればFirstradeの生態が分かります。

Firstrade Securities Inc. © 拡張・安全性: Let's Firstrade!

画面上部にあるオレンジ色の「Open an Account」をクリックしてください

User Support **Open an Account** Connectors Connectors LOGIN 中止操作 中止機能

FIRSTTRADE

HOME ACCOUNTS TRADE PLACEMENTS & TRADES RETIREMENT FINANCIALS SERVICES

ANNOUNCING NEW, EVEN LOWER PRICING

What Value Investing Looks Like

\$2.95 + \$0.50
Per Stock Trade Per Options Contract

DOW 23,503.36 +6.13 (0.03%) NASDAQ 6,719.12 +21.34 (0.32%) S&P 500 2,596.38 +3.74 (0.14%)

■開設手続き(Online Account Application)

申込画面が開きますので空白箇所を入力してください

FIRSTTRADE
Online Account Application

First Name: Taro 名 ※英字

Last Name: Yamada 姓 ※英字

Email Address: taro.yamada@gmail.com メールアドレス

Are you a citizen of the United States?
 Yes No あなたはアメリカ国民ですか？
「No」をクリック

Get Started ※「No」をクリックすると更に質問事項が増えます

Email Address: taro.yamada@gmail.com

Are you a citizen of the United States?
 Yes No

Are you a permanent resident of the United States?
 Yes No あなたはアメリカ永住者ですか？
「No」をクリック

Do you currently live in the United States?
 Yes No あなたは現在アメリカに住んでいますか？
「No」をクリック

Get Started 確認後、クリック Please have the following information before proceeding:
 • Official name (English name appear on your passport)
 • Permanent address of residence
 • Employer's name and address (if applicable)
 • Valid passport from your country of citizenship 確認する

Please have the following information before proceeding:

次(Get Started)へ進む前に、以下の情報をご確認下さい:

- Official name (English name appear on your passport)
正式名称(パスポートに表記されている英語の名前)
- Permanent address of residence
居住地
- Employer's name and address (if applicable)
雇用者の氏名と住所(該当する場合)
- Valid passport from your country of citizenship
有効なパスポート

■開設手続き (1 Account Type and Features)

口座種別・トレード方法を選択します

FIRSTTRADE
Online Account Application

Call 1-800-869-8800 or [Live Chat](#)

1 2 3 4 Account Type and Features

What type of brokerage account would you like to open?

Individual Account クリック Joint Account - WROS

Individual Account
Standard brokerage account in the name of one owner.

[Click here to download forms for additional account types not listed above.](#)

Please select the features you would like for your brokerage account.

Cash Trading クリック Cash and Margin Trading (For information on margin trading, [click here](#)) クリック

Options Trading Privileges クリック

Continue クリック

What type of brokerage account would you like to open?

(ご希望の口座種別を選択してください) : 「Individual Account (個人口座)」をクリック

Please select the features you would like for your brokerage account.

(ご希望のトレード方法を選択してください) : 「Cash Trading (現物取引)」をクリック

「Continue (続行)」をクリック

■開設手続き(2 Personal Profile)

個人情報を入力するページに移ります

Prefix (optional) 敬称

First Name Taro

Last Name Yamada

Middle Initial (optional)

Suffix (optional)

Date of Birth mmddyyyy

※前画面で入力したFirst Name(名)/Last Name(姓)が自動的に反映されているので間違いがないか確認してください

Prefix(敬称) : 「V」をクリック
クリックすると選択肢が出てきます

Prefix (optional) 敬称

First Name Taro

Last Name Yamada

Middle Initial (optional)

Suffix (optional)

Date of Birth mmddyyyy

敬称
「Mr(男性)」「Mrs(既婚女性)」「Ms(未既婚問わない女性)」「Miss(未婚女性)」「Dr(博士・医者)」「Rev(牧師)」より選択する

生年月日の入力と国籍を選択します

Personal Profile

Personal Information

Prefix (optional)

Mr

First Name

Taro

Middle Initial (optional)

Last Name

Yamada

Suffix (optional)

Date of Birth

04/20/1979

生年月日(月/日/年) ※「/」スラッシュは入力不要

Country of Citizenship

<Select Here>

Marital Status

<Select Here>

市民権
「▼」をクリック
クリックすると選択肢が出てきます

First Name

Taro

Middle Initial (optional)

Suffix (optional)

Number of dependents

Alternate Phone # (optional)

Country

Select Here

Australia
Belgium
China
France
Germany
Hong Kong
Ireland
Israel
Italy
Japan
Macau
Mexico
New Zealand
Poland
Singapore
South Korea
Spain
Taiwan
United Kingdom

Japan(日本)を選択

婚姻状況を選択し、扶養家族数を入力します

Date of Birth
04/20/1975

Country of Citizenship ●
Japan

Marital Status 婚姻状況
<Select Here>

Contact Information

Main Phone # Alternate Phone # (optional)

「V」をクリック
クリックすると選択肢が出てきます

Marital Status
<Select Here>

- Single
- Married
- Divorced
- Widowed

Main Phone # Alternate Phone #

婚姻状況
「Single(独身)」「Married(既婚)」
「Divorced(離婚)」「Widowed(死別)」
より選択してください

Marital Status
Married:

Number of dependents
3

扶養家族数
ご自身を含む扶養家族の人数を
入力してください

Foreign Tax ID Number (FTIN)
A Tax ID Number issued by your country of residence. If a FTIN is not available, a reasonable explanation must be provided.

Enter Foreign TIN or Explanation

Check here if you are not legally required.

Contact Information

Main Phone # Alternate Phone # (optional)

E-Mail Address Confirm E-Mail

taro.yamada@gmail.com taro.yamada@gmail.com

納税者番号、電話番号と住所を入力します

Foreign Tax ID Number (FTIN) 納税者番号

A Tax ID Number issued by your country of residence. If no FTIN is available, enter your Social Security number.

123456789012

Check here if you are not legally required.

Contact Information

納税者番号(マイナンバー)

2018年1月より納税者番号の入力が必須
となっています

12ケタの納税者番号を入力してください

Contact Information

Main Phone # 電話番号

Alternate Phone # (optional)



「地球儀のマーク」をクリック
クリックすると選択肢が出てきます

Italy +39

Japan +81

Macau +853

Mexico +52

New Zealand +64

Confirm E-Mail

国番号

「Japan +81」を選択します

Contact Information

Main Phone

Alternate Phone # (optional)



+819012345678

電話番号先頭「0」を省いて入力します
例: 09012345678 → 9012345678

E-Mail Address

Confirm E-Mail

taro.yamada@gmail.com

taro.yamada@gmail.com

Current Address of Residence

Country 居住国

<Select Here>



居住国

「V」をクリック

クリックすると選択肢が出てきます

Address Line 1 (No P.O. Boxes or C/O)

Street name and house number

Current Address of Residence

<Select Here>

Australia
Belgium
China
France
Germany
Hong Kong
Ireland
Israel
Italy
Japan
Macau
Mexico
New Zealand
Poland
Singapore
South Korea
Spain
Taiwan
United Kingdom

居住国
「Japan」を選択します



Current Address of Residence

Country *

Japan

Address Line 1 (No P.O. Boxes or C/O)

1-2-3 Kashiwagi

丁目・番地、町村
※住所記入例 参照
※文字入力制限あり(30文字)

※入力不要

City

Minato-Ku Tokyo

市町村名と都道府県名
※住所記入例 参照
※文字入力制限あり(30文字)

Postal code

1050001

郵便番号(※「-」ハイフン不要)

Years at Residence

10

居住年数

全て入力後
クリック

Check here if your mailing address is different from your current address of residence.

Previous

Clear

Continue

※住所記入例

〒105-0001	⇒	Postal Code	→ 1050001
東京都港区	⇒	City	→ Minato-ku Tokyo
柏木1-2-3	⇒	Address Line 1	→ 1-2-3 Kashiwagi

雇用状況を入力します

1 2 3 4 Personal Profile

Employment Information

Employment Status 雇用状況
 <Select Here> 

雇用状況
 「V」をクリック
 クリックすると選択肢が出てきます

Financial Information

Annual Income
 <Select Here>

Liquid Net Worth 
 <Select Here>

Total Net Worth 
 <Select Here>

Previous  Clear Continue

1 2 3 4 Personal Profile

Employment Information

Employment Status
 <Select Here>

Employed (full-time/part-time)
 Self-Employed
 Retired
 Student
 Student-Employed
 Homemaker
 Not-Employed

雇用状況
 「Employed(従業員)」「Self-Employed(自営業)」「Retired(退職)」「Student(学生)」「Student-Employed(勤労学生)」「Homemaker(主婦)」「Not-Employed(未就業)」より該当する項目を選択してください

Liquid Net Worth 
 <Select Here> 

Total Net Worth 
 <Select Here> 

「Employed(従業員)」「Self-Employed(自営業)」を選択した方は次のページへ
 「Retired(退職)」を選択した方は18ページへ
 「Homemaker(主婦)」を選択した方は22ページへ
 進んでください

「Employed(従業員)」「Self-Employed(自営業)」を選択した場合

Employment Information

Employment Status

Employed (full-time/part-time)

Company Name

ABC Co., Ltd.

Years at Employer

20

Type of Business 職業

<Select Here>

Position/Title

会社名

勤続年数

Company Address

Country

<Select Here>

Address Line 1 (No P.O. Boxes or C/O)

Street name and house number

Address Line 2 (optional)

Postal code

クリック

クリックすると選択肢が出てきます

Personal Profile

Employed (full-time/part-time)

Company Name

ABC Co., Ltd.

- Accounting/Auditing
- Advertising/Marketing
- Banking
- Biotechnology & Pharmaceutical
- Construction
- Consulting Services
- E-Commerce
- Education
- Financial Services
- Food Services
- Government-National & Local
- Human Resources & Training
- Insurance
- IT Services/Software
- Law Enforcement/Security
- Legal Services
- Leisure & Hospitality
- Libraries
- Manufacturing & Production
- Media
- Medical & Healthcare
- Military & Defense
- Natural Resources & Mining
- Real Estate
- Retail/Wholesale
- Social Services
- Telecommunications
- Transportation & Logistics
- Utilities
- Other

以下より、該当する職業を1つ選択してください

- Accounting(会計士)
- Advertising/Marketing(広告/マーケティング)
- Banking(銀行員・金融)
- Biotechnology & Pharmaceutical(バイオテクノロジー/製薬)
- Construction(建設)
- Consulting Services(コンサルタント)
- E-Commerce(eコマース)
- Education(教育)
- Financial Services(ファイナンシャル)
- Food Services(フードサービス)
- Government - National & Local(政府関連)
- Human Resources & Training(人事)
- Insurance(保険)
- IT Services/Software(ITサービス/ソフトウェア)
- Law Enforcement/Security(法執行請負業者、治安警備請負業者)
- Legal Services(法律関連)
- Leisure & Hospitality(レジャー & ホスピタリティ)
- Libraries(図書館)
- Manufacturing & Production(製造業)
- Media(広報)
- Medical & Healthcare(医療 & ヘルスケア)
- Military & Defense(軍事・防衛)
- Real Estate (不動産)
- Retail/Wholesale (小売り/卸売業)
- Social Services (社会福祉)
- Telecommunications(電気通信)
- Transportation & Logistics(運送 & 物流)
- Utilities(公共事業)
- Other(その他)

Employment Information

Employment Status

Employed (full-time/part-time)

Company Name

ABC Co., Ltd.

Years at Employer

20

Type of Business

Construction

Position/Title 役職

Manager

Job Descriptions (max. 100 characters) 仕事内容

My main business is home construction.

役職

President (社長)
Senior Managing Director (専務)
General Manager (部長)
Senior Staff (主任)
Staff または Member (社員)

仕事内容を簡潔に英語で記入
※100文字以内
例: My (main) job is _____.
I'm a _____.

Employment Information

Employment Status

Employed (full-time/part-time)

Company Name

ABC Co., Ltd.

Years at Employer

20

Type of Business

Construction

Position/Title

Manager

Job Descriptions (max. 100 characters)

My main business is home construction.

「Japan」を選択

Company Address

Country 会社の所在国

<Select Here>

Address Line 1 (No P.O. Boxes or C/O)

会社の所在国

「V」をクリック

クリックすると選択肢が出てきます

Company Address

Country

<Select Here>
United States
Australia
Belgium
China
France
Germany
Hong Kong
Ireland
Israel
Italy
Japan
Macau
Mexico
New Zealand
Poland
Singapore
South Korea
Spain
Taiwan
United Kingdom

会社の住所を入力します

Employment Information

Employment Status	Company Address
Employed (full-time/part-time)	Country
Company Name	Address Line 1 (No P.O. Boxes or C/O)
ABC Co., Ltd.	1-2-3 Nonohara
Years at Employer	Address Line 2 (optional)
20	
Type of Business	City
Construction	Nerima-ku Tokyo
Position/Title	Postal code
Manager	1760001
Job Descriptions (max 100 characters)	
My main business is home construction.	
郵便番号(※「-」ハイフン不要)	

※住所記入例

〒176-0001	⇒	Postal Code	→ 1760001
東京都練馬区	⇒	City	→ Nerima-ku Tokyo
野々原1-2-3	⇒	Address Line 1	→ 1-2-3 Nonohara

Financial Information

Primary Income Source

Employer

Annual Income 年収

<Select Here>

Liquid Net Worth 流動資産

<Select Here>

Total Net Worth 総資産

<Select Here>

Previous

Clear

Continue

年収
「V」をクリック
クリックすると選択肢が出てきます

Financial Information

Primary Income Source

Employer

Annual Income 年収

<Select Here>

Under \$20,000

\$20,001 to \$25,000

\$25,001 to \$50,000

\$50,001 to \$100,000

\$100,001 to \$200,000

\$200,001 to \$300,000

\$300,001 to \$500,000

\$500,001 to \$1,200,000

Over \$1,200,000

該当する年収を選択してください(※米ドル換算)

Under \$20,000 (220万円以下)

\$20,001 - \$25,000 (220万円以上 ~ 275万円未満)

\$25,001 - \$50,000 (275万円以上 ~ 550万円未満)

\$50,001 - \$100,000 (550万円以上 ~ 1,100万円未満)

\$100,001 - \$200,000 (1,100万円以上 ~ 2,200万円未満)

\$200,001 - \$300,000 (2,200万円以上 ~ 3,300万円未満)

\$300,001 - \$500,000 (3,300万円以上 ~ 5,500万円未満)

\$500,001 - \$1,200,000 (5,500万円以上 ~ 1億3,200万円未満)

Over \$1,200,000 (1億3,200万円以上)

※\$1 = 110円 計算

Financial Information

Primary Income Source

Employer

Annual Income

\$50,001 to \$100,000



Liquid Net Worth 流動資産

\$25,001 to \$50,000



Total Net Worth 総資産

\$50,001 to \$100,000



流動資産(※米ドル換算)

⇒すぐに現金化できる資産

該当する流動資産を選択してください

総資産(※米ドル換算)

該当する総資産を選択してください

※総資産は流動資産より多く設定してください

クリック

Previous

Clear

Continue

23ページへ進んでください

「Retired(退職)」を選択した場合

Employment Information

Employment Status

Retired

Previous Company Name

ABC Co., Ltd.

Years at Employer

40

Type of Business 職業

<Select Here>

Position/Title

前職の会社名

勤続年数

クリック
クリックすると選択肢が出てきます

Employment Information

Employment Status

Accounting/Auditing
Advertising/Marketing
Banking
Biotechnology & Pharmaceutical
Construction
Consulting Services
E-Commerce
Education
Financial Services
Food Services
Government-National & Local
Human Resources & Training
Insurance
IT Services/Software
Law Enforcement/Security
Legal Services
Leisure & Hospitality
Libraries
Manufacturing & Production
Media
Medical & Healthcare
Military & Defense
Natural Resources & Mining
Real Estate
Retail/Wholesale
Social Services
Telecommunications
Transportation & Logistics
Utilities
Other

- 以下より、該当する職業を1つ選択してください
- Accounting(会計士)
 - Advertising/Marketing(広告/マーケティング)
 - Banking(銀行員・金融)
 - Biotechnology & Pharmaceutical(バイオテクノロジー/製薬)
 - Construction(建設)
 - Consulting Services(コンサルタント)
 - E-Commerce(eコマース)
 - Education(教育)
 - Financial Services(ファイナンシャル)
 - Food Services(フードサービス)
 - Government - National & Local(政府関連)
 - Human Resources & Training(人事)
 - Insurance(保険)
 - IT Services/Software(ITサービス/ソフトウェア)
 - Law Enforcement/Security(法執行請負業者、治安警備請負業者)
 - Legal Services(法律関連)
 - Leisure & Hospitality(レジャー & ホスピタリティ)
 - Libraries(図書館)
 - Manufacturing & Production(製造業)
 - Media(広報)
 - Medical & Healthcare(医療 & ヘルスケア)
 - Military & Defense(軍事・防衛)
 - Real Estate (不動産)
 - Retail/Wholesale (小売り/卸売業)
 - Social Services (社会福祉)
 - Telecommunications(電気通信)
 - Transportation & Logistics(運送 & 物流)
 - Utilities(公共事業)
 - Other(その他)

Employment Information

Employment Status

Retired

Previous Company Name

ABC Co., Ltd.

Years at Employer

40

Type of Business

Construction

Position/Title

Senior Managing Director

Job Descriptions (max 100 characters)

My main business is home construction.

役職

President(社長)
Senior Managing Director(専務)
General Manager(部長)
Senior Staff(主任)
StaffまたはMember(社員)

仕事内容を簡潔に英語で記入

※100文字以内

例: My (main) job is _____.

I'm a _____.

Previous Company Address

Country 前職の所在国

<Select Here>

Address Line 1 (No P. Boxes or C/O)

前職の所在国
「V」をクリック
クリックすると選択肢が出てきます

Employment Information

Employment Status

Retired

Previous Company Name

ABC Co., Ltd.

Years at Employer

40

Type of Business

Construction

Position/Title

Senior Managing Director

Job Descriptions (max 100 characters)

My main business is home construction.

国

「Japan」を選択

Previous Company Address

Country

<Select Here>

United States
Australia
Belgium
China
France
Germany
Hong Kong
Ireland
Israel
Italy
Japan
Macau
Mexico
New Zealand
Poland
Singapore
South Korea
Spain
Taiwan
United Kingdom

前職の住所を入力します

Employment Information

Employment Status	Retired	Previous Company Address
Previous Company Name	ABC Co., Ltd.	Country
Years at Employer	40	Address Line 1 (No P.O. Boxes or C/O)
Type of Business	Construction	Address Line 2 (optional)
Position/Title	Senior Managing Director	City
Job Descriptions (max 1000 characters)	My main business is home construction.	Postal code

※住所記入例参照
※文字入力制限あり(30文字)

丁目-番地、町村
※住所記入例参照
※文字入力制限あり(30文字)

市町村名と都道府県名
※住所記入例参照
※文字入力制限あり(30文字)

郵便番号(※「-」ハイフン不要)

※住所記入例

〒176-0001	⇒	Postal Code	→ 1760001
東京都練馬区	⇒	City	→ Nerima-ku Tokyo
野々原1-2-3	⇒	Address Line 1	→ 1-2-3 Nonohara

金融情報を入力します

Financial Information

Primary Income Source
<Select Here> 

Annual Income
<Select Here>

Liquid Net Worth 
<Select Here>

Total Net Worth 
<Select Here>

[Previous](#) [Clear](#) [Continue](#)

現在の主な収入
「▼」をクリック
クリックすると選択肢が出てきます

Financial Information

Primary Income Source
<Select Here> 

Investments
Spouse
Social Security / Pension
Other

Liquid Net Worth 
<Select Here>

Total Net Worth 
<Select Here>

[Previous](#) [Clear](#) [Continue](#)

Investments(投資)
Spouse(配偶者)
Social Security / Pension(社会保障年金)
Other(その他)
より、該当する収入を選択ください

- Primary Income Source(現在の主な収入)で「Spouse(配偶者)」「Social Security / Pension(社会保障年金)」*「Other(その他)」
- Employment Status(雇用状況)で「Homemaker(主婦)」を選択した場合

Financial Information

Primary Income Source 現在の主な収入

Spouse

Annual Income 年収

<Select Here>

Liquid Net Worth

*「Other(その他)」を選択した場合

Primary Income Source

Other

If Others, please specify:

その収入を明記する必要があります

年収
「▼」をクリック
クリックすると選択肢が出てきます

該当する年収を選択してください(※米ドル換算)

Under \$20,000 (220万円以下)
\$ 20,001 - \$25,000 (220万円以上 ~ 275万円未満)
\$25,001 - \$50,000 (275万円以上 ~ 550万円未満)
\$50,001 - \$100,000 (550万円以上 ~ 1,100万円未満)
\$100,001 - \$200,000 (1,100万円以上 ~ 2,200万円未満)
\$200,001 - \$300,000 (2,200万円以上 ~ 3,300万円未満)
\$300,001 - \$500,000 (3,300万円以上 ~ 5,500万円未満)
\$500,001 - \$1,200,000 (5,500万円以上 ~ 1億3,200万円未満)
Over \$1,200,000 (1億3,200万円以上)

※\$1 = 110円 計算

Annual Income 年収

\$50,001 to \$100,000

Liquid Net Worth 流動資産

\$25,001 to \$50,000

Total Net Worth 総資産

\$50,001 to \$100,000

流動資産(※米ドル換算)
⇒すぐに現金化できる資産
該当する流動資産を選択してください

総資産(※米ドル換算)
該当する総資産を選択してください
※総資産は流動資産より多く設定してください

[Previous](#) [Clear](#) [Continue](#)

クリック

■開設手続き(3 Investment Profile)

投資目的・投資経験について

1 2 3 4 Investment Profile

Industry regulations require us to obtain the following information in order to provide you with the best service. Your responses will provide us with the information for your household. All information will be kept strictly confidential.

Investment Objective(s) (check at least one) 投資目的

Capital Preservation
 Income
 Growth
 Speculation

Investment Experience 投資経験

<Select Here>
 None
 Limited
 Good
 Excellent

Are you or a member of your household a director, 10% shareholder or officer of a publicly traded company?
 No
 Yes

投資目的
 該当する投資目的を選択してください
 Capital Preservation(資産保全)
 Income(配当など)
 Growth(成長株の株価上昇目当て)
 Speculation(投機)
 投機を選択してください

投資経験
 該当する投資経験を選択してください
 None(ない)
 Limited(少し)
 Good(良好)
 Excellent(とても良好)
 ※投資経験が低いと開設できない可能性
 があります

Affiliation Information

Are you or a member of your household a director, 10% shareholder or officer of a publicly traded company?

No
 Yes

あなたやあなたの家族の株保有について
 「No」をクリック

Are you or a member of your immediate family associated with or employed by a stock exchange, exchange member, FINRA, or registered broker-dealer?

No
 Yes

あなたやあなたの家族や知り合いで証券取引所に所属しているブローカーがいるか
 「No」をクリック

Are you or any member of your immediate family, or any of your personal or business associates a current or former senior political figure (SPP) or politically exposed person (PEP)?

No
 Yes

あなたやあなたの家族や知り合いで(元・現)政治に関わっている人物がいるか
 「No」をクリック

Additional Information

Promotion or Referral Code (optional) プロモーションコード

Referral or promotion code Apply Code

入力不要

How did you hear about us? (optional) どのようにFirsttradeを知りましたか?

Please select

クリック
 クリックすると選択肢が出てきます

Additional Information

Promotion or Referral Code (optional) (オプション)

Referral or promotion code

Apply Code

Please select

Family & Friends
Search Engine - Google
Search Engine - Bing / Yahoo
Facebook
Twitter
Magazine - Barron's
Magazine - Kiplinger
Magazine - Smart Money
Event
Email / Newsletter
Other - specify

Firstradeを何で知りましたか？
該当する項目を選択してください

Family & Friends(家族や友人)
Search Engine-Google(グーグル)
Search Engine-Bing/Yahoo(ヤフー)
Facebook(フェイスブック)
Twitter(ツイッター)
Magazine-Barron's(雑誌)
Magazine-Kiplinger(雑誌)
Magazine-Smart Money(雑誌)
Event(イベント)
Email/Newsletter(メール/ニュースレター)
Other-specify(その他)

Additional Information

Promotion or Referral Code (optional) (オプション) プロモーションコード

Referral or promotion code

Apply Code

入力不要

How did you hear about us? (optional)

Family & Friends



Previous

Clear

Continue

クリック

■開設手続き(4 Review & Sign)

これまで入力した内容の再確認をします

Review & Sign

Review Your Information

Please review the information you have entered. To make changes or corrections, click the the "EDIT" button to jump to the section that you would like to modify.

Account Type and Features

[EDIT](#)

Account Type 口座種別 Individual Account

Account Features トレード方法 Cash

Personal Information

[EDIT](#)

Name 名前(敬称) Mr. Taro Yamada

Date of Birth 生年月日 04/20/1975

Citizenship Status (米国の)市民権 Non-U.S. Resident

Country of Citizenship 国籍 Japan

Marital Status 婚姻状況 Married

Dependents 扶養家族数 3

Main Phone # 電話番号 +819012345678

E-Mail Eメール taro.yamada@gmail.com

Residential Address 住所 1-2-3 Kashiwagi
Minato-ku Tokyo 1050001
Japan

Years at Residence 居住年数 10

Mailing Address 送付先住所 same as residential address

Employment Information

[EDIT](#)

Employment Status 雇用状況 Employed

Company Name 会社名 ABC Co., Ltd.

Years at Employer 勤続年数 20

Type of Business 職業 Construction

Position/Title 役職 Manager

Job Descriptions 仕事内容 My main business is home construction.

Company Address 会社住所 1-2-3 Nonchans
Nerima-ku Tokyo 1750001
Japan

入力に間違いがないか
確認し、修正箇所があれば
各項目の"EDIT"ボタンを押して
修正してください

Financial Information		
EDIT		
Primary Income Source	現在の主な収入源	Employer
Annual Income	年収	\$50,001 to \$100,000
Liquid Net Worth	流動資産	\$25,001 to \$50,000
Total Net Worth	総資産	\$50,001 to \$100,000
Investment Information		
EDIT		
Investment Objective(s)	投資目的	Speculation
Investment Experience	投資経験	Good
Affiliation Information		
EDIT		
Shareholder of Company	株保有について	No
Affiliated Broker/Dealer	プローカーについて	No
Political Affiliation	政治について	No
Additional Information		
EDIT		
Promotion or Referral Code	プロモーションコード	
How did you hear about us?	どこでFirstradeを知ったか	
		Family & Friends

Continue

修正箇所がなければ
クリック

Terms and Conditions 取引条件

1) I (We) would like to open a Cash account with Firsttrade Securities Inc. ("Firsttrade") to be carried by Apex Clearing. I (We) am (are) of legal age to contract. I (We) acknowledge that I (We) have received, read and agree to be bound by the terms and conditions as set forth in the Firsttrade Account Agreement as amended from time to time.

2) I (We) acknowledge that Firsttrade and Apex Clearing do not provide investment, tax, or legal advice or recommendations.

3) I (We) acknowledge that, in accordance with Paragraph 23 of the Firsttrade account agreement, I (We) agree in advance to arbitrate any controversies which may arise with each or both of you.

4) I (We) understand that you will supply my (our) name(s) to issuers of any securities held in my (Our) account so that I (We) might receive any important information regarding them, unless I (We) notify you in writing not to do so.

✓を入れる read, understand and accept the terms and conditions of the Online Service Agreements.

First Name:
TARO

Middle Name:

Last Name:
YAMADA

Previous

Continue

Electronic Document Delivery 電子文書配信

PLEASE READ THE FOLLOWING:

Firsttrade Securities Inc. is required to send you trade confirmations, monthly account statements, tax documents, and proxies/prospectuses, and other account documents in writing.

Firsttrade Securities Inc., through its clearing firm, Apex Clearing Corporation (Apex Clearing), shall send notification regarding these documents to your e-mail address as it appears on the account. By sending this notification by e-mail, Apex Clearing will fulfill its regulatory obligation to provide written notification of these documents. The transmission of such communications by e-mail will be considered personal delivery, whether or not you actually receive it. You understand that all documents will only be transmitted online unless you check the box below or you deliver written revocation of this agreement to Firsttrade Securities Inc. Upon receipt, Firsttrade Securities Inc. will forward paper copies of the documents by regular mail to your address of record.

Trade confirmations for the execution of orders will be considered conclusive if you do not submit a written objection within two days after Apex Clearing has sent such confirmation by e-mail. Monthly account statements will be considered conclusive if you do not submit a written objection within 10 days after Apex Clearing has sent such statement by e-mail. You understand that Firsttrade Securities Inc. and Apex Clearing are not

You can view and download your account related documents (statements, confirms, 1099s, etc.) by logging in to Firsttrade.com.

Previous

Continue

クリック

ユーザーID、パスワード、暗証番号(4桁)を設定します

Account Access Setup

If you are already a Firstrade customer and want to link this new account to your existing User ID, click here.

Please complete the following login-related information below. You will use this information to access your Firstrade.com brokerage account.

User ID

yama-0811

ユーザーIDを入力

4~16文字

アルファベット・数字・記号(-_._)が使用可能

Password

パスワードを入力

8~32文字

アルファベット+数字を含む
記号も使用可能

Re-Enter Password

パスワードを再入力してください

Using your mouse, please create a 4-digit PIN.

7	8	9	0
4	5	6	
1	2	3	
0	CLEAR PIN		

4桁の暗証番号を設定
「再入力」と表示されます

7	8	9	0
4	5	6	
1	2	3	
0	CLEAR PIN		

再入力すると
「成功」と表示されます

※ユーザーID、パスワード、暗証番号は今後ログインする際に必要となりますので、
忘れないよう注意してください

※※個人情報となりますので自己責任の上ご記入いただき、ご自身での管理を
徹底してください。

ユーザーID

パスワード

暗証番号(4桁)

秘密の質問を2つ設定します

To protect the security of your account, please complete the two of the following security questions. (This information will be used to identify you when you contact us.)

Secret Question One

Select your first question



クリック
クリックすると選択肢が出てきます

Answer One

Secret Question Two

Select your second question



Answer Two

Clear

Continue

Your favorite book
Your favorite animal
The first name of your grade school best friend
The last name of your childhood best friend
Your favorite cartoon character
In what city were you born
In what city was your father born
The name of the street on which you grew up
The first name of your father's mother
The first name of your mother's father
The first name of your mother's mother
Your favorite board game
The first name of your father's father
Your favorite hobby
Your favorite magazine
Your favorite musical performer/group
Your favorite television show
The first foreign country you visited
Your major during college
Your youngest child's middle name
Which company did you hold your first job
In which city were you born
Your favorite flower
Your oldest sibling's nickname
In which city was your spouse born
The first name of your favorite athlete
Your childhood nickname
The model of your first car
The first name of your first boss
Your favorite movie

Your favorite book (好きな本)
Your favorite animal (好きな動物)
The first name of your grade school best friend (小学校の時の親友の名前(名))
The last name of your childhood best friend (子供のときの親友の名前(姓))
Your favorite cartoon character (好きなアニメのキャラクター)
In what city were you born (生まれた都市)
In what city was your father born (父が生まれた都市)
The name of the street on which you grew up (生まれ育ったストリート名)
The first name of your father's mother (父方の祖母の名前(名))
The first name of your mother's father (母方の祖父の名前(名))
The first name of your mother's mother (母方の祖母の名前(名))
Your favorite board game (好きなボードゲームの名前)
The first name of your father's father (父方の祖父の名前(名))
Your favorite hobby (好きな趣味)
Your favorite magazine (好きな雑誌)
Your favorite musical performer/group (好きな音楽グループ)
Your favorite television show (好きなテレビ番組)
The first foreign country you visited (初めて訪れた国)
Your major during college (大学時代の専攻)
Your youngest child's middle name (一番下の子のミドルネーム)
Which company did you hold your first job (初めて働いた会社名)
In which city were you born (生まれた都市)
Your favorite flower (好きな花)
Your oldest sibling's nickname (一番年上の兄弟のニックネーム)
In which city was your spouse born (配偶者の生まれた都市)
The first name of your favorite athlete (好きなアスリートの名前(名))
Your childhood nickname (子供の頃のニックネーム)
The model of your first car (初めての車のモデル)
The first name of your first boss (初めての上司の名前(名))
Your favorite movie (好きな映画)

秘密の質問の設定が終わったら、「Continue」をクリック

To protect the security of your account, please complete the two of the following security questions. (This information will be used to identify you when you contact us.)

Secret Question One

Your favorite animal

Answer One

dog 回答を英字で入力

Secret Question Two

Your favorite cartoon character

Answer Two

mickey 回答を英字で入力

クリック Continue

この画面が表示されれば、オンライン手続きは完了です

口座番号(8桁)が発行されますので忘れないよう注意してください

同時にオンライン登録完了をお知らせするメールも届きます(P34参照)

※※個人情報となりますので自己責任の上ご記入いただき、ご自身での管理を徹底してください

Congratulations! Welcome to Firstrade.

Your new brokerage account number is
████████ ← 口座番号(8桁)

Login to Firstrade

Please submit Personal ID copy and all required Account Agreement & Documents*:

1. Please provide a photocopy of your valid passport. The photocopy must show your photograph, personal information, and signature. Additionally, if your country of residence is different than your mailing address you must submit proof of residency. Acceptable proofs of residency include; a utility bill, rent receipt, bank statement which shows the address of your country of residence
2. Document checklists:
 - Online Service Agreement [①](#)
 - Substitution Form W8-BEN [②](#)

*Failure to submit above required items will cause account restriction

Print Pre-Filled Forms & Sign

Submit documents through File Upload How to Upload a Form

Log in to our website, click on **Customer Service → Form Center → Upload Form** more options

口座番号(8桁)

■書類のダウンロード方法

“Print Pre-Filled Forms & Sign”ボタンを押すと、PDFで書類が2枚表示されます
“ONLINE SERVICE AGREEMENT”と“W-8BEN”を印刷してください

Congratulations! Welcome to Firstrade.

Your new brokerage account number is
[REDACTED] - [REDACTED] ←口座番号(8桁)

[Login to Firstrade](#)

Please submit Personal ID copy and all required Account Agreement & Documents*:

1. Please provide a photocopy of your valid passport. The photocopy must show your photograph, personal information, and signature.
Additionally, if your country of residence is different than your mailing address you must submit proof of residency. Acceptable proofs of residency include; a utility bill, rent receipt, bank statement which shows the address of your country of residence
2. Document checklists:
 - Online Service Agreement
 - Substitution Form W8-BEN

*Failure to submit above required items will cause account restriction

[Print Pre-Filled Forms & Sign](#)

Submit documents through  クリック

Log in to our website, click on Customer Service → Form Center → [Upload Form](#)

How to Upload a Form 

more options

1枚目: ONLINE SERVICE AGREEMENT(オンラインサービス契約)

1ページ目

FIRSTRADE
Firstrade Securities, Inc., Assurance
22-02 Madison Avenue, 3rd Floor
Flushing, New York 11368

ONLINE SERVICE AGREEMENT

Sign and fax this document to 718-961-3919 OR mail to the address above

Primary Applicant

Personal Information

Name: TARO YAMADA	Address: 1-3-3, KASHIWADAI, MINATO-KU 42 Street Address 1 Street Address 2 City State Postal/Zip Code Country	TOKYO 100-0001 JAPAN
Age: 42		
SSN: 8190123456789		
Mar. #		
Secondary #		
E-Mail Address: taro.yamada@gmail.com		

Please Read and Sign Below

Rule 140-1(g) of the Securities Exchange Act: If I am the record owner of the issuer's securities which I am not the record owner of, I am the beneficial owner of the issuer's securities which I am not the record owner of. If you object to this disclosure, check this box:

By signing this application, I acknowledge that I have read and understood the terms and conditions in the Firstrade Securities Inc. Account Agreement, which includes the margin agreement, and agree to be bound by these terms and conditions as they apply to my account as amended from time to time. I agree that Firstrade Securities Inc. does not provide legal or tax advice, and will not advise me concerning the nature, potential value, or suitability of any particular security, transaction, or investment strategy. I understand that investments purchased through Firstrade Securities are not insured by the FDIC (Federal Deposit Insurance Corporation), we are not obligated or guaranteed by any financial institution and are subject to investment risk and loss that may exceed the principal invested.

In accordance with the Firstrade Securities Account Agreement, I agree that all debts and other obligations owed to Firstrade and any party to the Account Agreement will be assumed by a firm or all beneficiaries and other property now or hereafter held or maintained in any of my present or future Firstrade accounts, whether individually or jointly held with others, or in any other Firstrade account in which I have an interest.

I acknowledge that I have read and understand the pre-dispute arbitration clause located in the Firstrade Securities Inc. **Account Agreement**, paragraph 23, and agree to resolve any disputes arising out of my account by arbitration.

Under penalties of perjury, I certify (1) that the number shown on this application is my correct taxpayer identification number and (2) that I am not subject to backup withholding and (3) that I am a U.S. person (including a resident alien), provided, however, if I am a nonresident alien as disclosed in this application, I do not certify that I am a U.S. person and I understand that I must submit the appropriate W-8 Form if I have been notified by the IRS that I am subject to backup withholding as a result of claimed or inferred under-reporting. I must cross out the text contained in clause (2) of this section and I understand I will be subject to backup withholding.

I authorize Apex Clearing under Firstrade Securities to obtain a consumer report at the time of application to verify my creditworthiness and to obtain a consumer report from time to time for updates, renewals, extensions, and collection activity on any approved account. Upon my written request, Apex Clearing will disclose to me whether it obtained a report, and if so, the name and address of the consumer-reporting agency that provided it. In the event that my account is denied, as a result of the consumer report verification, I authorize Apex Clearing to provide to Firstrade the reason(s) for such denial.

I acknowledge that the information provided in the Account Application is accurate and correct.

PRIMARY APPLICANT SIGNATURE
(Signature must match social security provided)

Date

署名
※パスポートと同じ署名

記入日
月/日/年

Account Type: Margin Cash
Master ID Verified: Yes No

- ・「PRIMARY APPLICANT SIGNATURE」(申込者の署名)
- ※パスポートと同じ署名をしてください
- ・「Date」(記入日)
- 記入日を月/日/年の順で記入してください

2枚目：W-8BEN（アメリカの源泉徴収における受益者の海外在住の証明書）

2ページ目

FIRSTTRADE
FirstTrade Technologies, Inc. 2000 Research Drive
100-1000 Research Drive, Ste. 1000
Herndon, VA 20170-1000

Substitute Form W-8BEN
Certificate of Foreign Status of Beneficial Owner
for United States Tax Withholding
Department of the Treasury Internal Revenue Service

Section references are to the Internal Revenue Code.
For complete instructions or more information on the W-8 forms, please refer to the Internal Revenue Service (IRS) website at www.irs.gov.
Give this form to the withholding agent or payer. Do not send to the IRS.

Do not use this form for: Instead, use Form: _____
 A U.S. citizen or other U.S. person, including a resident alien individual. _____ W-9
 A person claiming an exemption from U.S. withholding on income effectively connected with the conduct of a trade or business in the United States. _____ W-8ECI
 A U.S. nonresident alien physically present in the U.S. for 183 days or more during the present calendar year. _____
 Exemption: Individuals holding an "F", "J", "M" or "G" visa should use this form. If yes, please indicate visa type here: _____
 Note: See IRS instructions for additional exceptions.

Part I Identification of Beneficial Owner (see instructions)

1 Name of individual that is the beneficial owner TARO YAMADA	2 Country of incorporation or organization Not Applicable
3 Type of beneficial owner: Individual	
4 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. Box or in-care-of address. 1-2-3, KASHIWAGI, MINATO-KU, TOKYO, 105-0001	
City or town, state or province. Include postal code where appropriate. TOKYO, 105-0001	Country JAPAN
5 Mailing address: 1-2-3, KASHIWAGI, MINATO-KU, TOKYO, 105-0001	
City or town, state or province. Include postal code where appropriate. TOKYO, 105-0001	Country JAPAN
6 U.S. taxpayer identification number, if required (see instructions) 01234567890	
7 Reference number [REDACTED]	

申し込み内容が入力されています

Part II Claim of Tax Treaty Benefits (if applicable)

I certify that (check all that apply):

The beneficial owner is a resident of Japan within the meaning of the income tax treaty between the United States and that country.
 If required, the U.S. taxpayer identification number is stated on line 6 (see instructions).
 The beneficial owner is related to the person obligated to pay the income within the meaning of section 267(b) or 707(b), and will file Form 8603 if the amount subject to withholding received during a calendar year exceeds, in the aggregate, \$500,000.

Part III Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the beneficial owner or am authorized to sign for the beneficial owner of all the income to which this form relates.
- The beneficial owner is not a U.S. person.
- The income to which this form relates is not effectively connected with the conduct of a trade or business in the United States or is effectively connected but is not subject to tax under an income tax treaty, and
- For broker transactions or other exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or my withholding agent that can deduct or make payments of the income which I am the beneficial owner.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to establish your status as a non-U.S. person and, if applicable, obtain a reduced rate of withholding.

X [REDACTED]	[REDACTED]	Self Date (MM/DD/YYYY) Capacity in which acting
--------------	------------	--

SIGNATURE OF BENEFICIAL OWNER

**受益者(申込者)の署名
※パスポートと同じ署名をしてください**

**記入日
月/日/年の順で記入してください**

■書類のアップロード方法

※オンライン登録を行ってから1週間以内を目安に行ってください

①ONLINE SERVICE AGREEMENT(オンラインサービス契約)

②W-8BEN(アメリカの源泉徴収における受益者の海外在住の証明書)

③パスポート

上記3点をアップロードします

まず、「Firstrade」にログインします

ログイン方法は検索サイトで「Firstrade」を検索(P5参照)、または、オンライン手続き後に届いているメールからもログインできます



Firstradeへログイン

Congratulations! Welcome to Firstrade.

Your new brokerage account number is
[REDACTED] - [REDACTED] ←口座番号(8桁)

[Login to Firstrade](#) ←クリック

Please submit Personal ID copy and all required Account Agreement & Documents:

1. Please provide a photocopy of your valid passport. The photocopy must show your photograph, personal information, and signature. Additionally, if your country of residence is different than your mailing address you must submit proof of residency. Acceptable proofs of residency include, a utility bill, rent receipt, bank statement which shows the address of your country of residence.

Welcome to Firstrade! [ログイン](#)

Firstradeからオンライン登録後、届くメールからログイン

Firstrade Securities <customer@firstrade.com>
To: [自分](#)

件名: 英語+ > 日本語+ メッセージを翻訳

FIRSTTRADE

Customer Service
Email: [customer@firstrade.com](#)
Toll Free: 1.855.368.4600
Overseas: 1.718.361.4600
Fax: 1.718.361.3819

Dear Taro Yamada,

Thank you for choosing Firstrade to achieve your financial goals! To start trading online, please follow these easy instructions:

1. Send us the completed new account documents:
 A. Locate new account pre-filled documents, please click [here](#) ←クリック
 B. Send PDF document files to us via "Online Document Upload" function.
 Please log in to our website, click on Customer Service — Form Center — Upload Form

Below please see a quick video on how to upload the documents.

[How to Upload a Form](#) ←クリックするとログインページに移ります

ログイン画面が表示されます

The screenshot shows the FirstTrade login page. On the left, there is a login form with fields for 'User ID' (containing 'yama-0811') and 'Password' (containing '*****'). Below these fields is a 'Login' button. Three red arrows with Japanese labels point to these elements: the first arrow points to the 'User ID' field with the text 'ユーザーIDを入力'; the second arrow points to the 'Password' field with the text 'パスワードを入力'; and the third arrow points to the 'Login' button with the text 'クリック'.

4桁の暗証番号入力画面

The screenshot shows the FirstTrade PIN entry page. On the right side, there is a numeric keypad with digits 0-9, a 'CLEAR' button, and an orange 'LOGIN' button. A red box with a red arrow points to the keypad area, with the text '4桁の暗証番号を入力' (Enter 4-digit PIN) inside the box. The keypad itself is labeled with the text 'Enter Your PIN'.

ログインすると同意書の画面が表示されます

The screenshot shows the 'Nasdaq OMX Global Subscriber Agreement' document. A green box highlights the 'Nasdaq OMX Global Terms and Conditions' section, which contains the text 'ナスダックOMX グローバル利用規定' (Nasdaq OMX Global Terms and Conditions).

In order to facilitate a decision as to whether Subjective assent is a "Proprietary Substantive," Subjective shall answer the following questions:

- A. Do you use Market Data solely for your personal investment activity?

Yes No

B. Do you receive Market Data for your business or any other entity?

Yes No

C. Are you currently registered or qualified with the SEC or the CFTC?

Yes No

D. Are you currently registered or qualified with any securities Agency, any securities exchange, association or regulatory body, or any controller of trading rules?

Yes No

E. Whether you are located within or outside of the United States, do you perform any functions that are similar to those that require an individual to be registered today?

Yes No

F. Are you engaged to provide investment advice to any individual or entity?

Yes No

G. Are you engaged as an asset manager?

Yes No

H. Did you use the capital of any other individual or entity in the conduct of your trading?

Yes No

I. Did you conduct trading for the benefit of a corporation, partnership, or other entity?

Yes No

J. Have you entered into any agreement to share the costs of your trading activities or receive compensation for your trading activities?

Yes No

K. Any compensation, office space, and equipment or other benefit in exchange for your trading services as a financial consultant to any person, firm or business entity?

Yes No

質問Aは「YES」にチェック
質問B以降は全て「NO」に
チェックが入っていることを
確認してください

「I Agree」(同意する) をクリック

「I Agree」をクリックするとホーム画面に移動します

ホーム画面

The screenshot shows the FirstTrade Investor Center homepage. At the top, there is a navigation bar with links for Home, My Accounts, Trading, Research & Tools, Retirement & Planning, Education, and Customer Service. The Customer Service link is highlighted with a red box and an arrow pointing to it from the right. The main content area features a large orange banner with the text 'Fund Your Account?' and four options: 'Transfer Cash from your Bank (ACH)', 'Wire Funds', 'Deposit a Check', and 'Transfer Assets from another Financial Institution'. To the right, there is a sidebar with sections for Referral Programs, Important Notices, and Quick Links.

「Customer Service」(カスタマーサービス)をクリックしてください

The screenshot shows the FirstTrade Customer Service page. At the top, there is a navigation bar with links for Home, My Accounts, Trading, Research & Tools, Retirement & Planning, Education, and Customer Service. The 'Form Center' link is highlighted with a red box and an arrow pointing to it from the right. The main content area features a 'Customer Service' section with icons for Live Chat, Telephone, and Fax. To the right, there is a sidebar with sections for Important Links, NY Corporate Headquarters, and Office Hours.

「Form Center」(フォームセンター)をクリックしてください

Form Center

Download Form **Upload Form**

Find the forms you need

クリック

Select the type of form you need. Please note that you will need Adobe Acrobat Reader to view and print most of these forms. You can get Acrobat Reader here.

E-DELIVERY OPTION

You're enrolled in Paperless Statements!

「Upload Form」(アップロードフォーム)をクリック

Form Center

Download Form **Upload Form**

Upload Form

Simply drag & drop or select & browse the file you wish to upload, and then select the Upload Now button.

* Please select account to associate with the document(s).

Drop Files Here

or

Select File(s)

提出する3つのファイルをドラッグまたはSelect File(s)をクリックしてファイルを選択

Select Account: 98800001-F BEC

E-DELIVERY OPTION

You're enrolled in Paperless Statements!

Thank you for helping save the environment! You will no longer receive paper copies of your statements and confirmations in the mail.

Your account is currently in view-only mode therefore paperless enrollment cannot be updated at this time. Please call us at 800.866.8800 for help related to your account status.

Account Status

Typically takes 2-3 business days to process uploaded documents.

Check your account status here.

FIRSTTRADE Investor Center

FINRA SEARCH Shortcuts Symbols or Company Delisted Quotes 88 98800001/F SEC 106.84

Home My Accounts Trading Research & Tools Retirement & Planning Education Customer Service

Contact Us Fund Your Account PAGES Form Center Pricing Promotions Online Security Billing

Form Center

Download Form Upload Form

Upload Form

Simply drag & drop or select & browse the file you wish to upload, and then select the Upload Now button.

* Please select account to associate with the document(s).

*Select Account: 98800001

Drop Files Here
or
Select File(s)

Please upload scanned documents:
JPEG, PNG, GIF, TIFF or PDF formats only
Maximum file size of 10MB
Limit 5 files per upload.

Select form type **ファイルを入れたら
クリック**

Upload Now

E-DELIVERY OPTION

 You're enrolled in Paperless Statements!

Thank you for helping save the environment! You will no longer receive paper copies of your statements and confirmations in the mail.

Your account is currently in view-only mode therefore paperless enrollment cannot be updated at this time. Please call us at 800-869-8800 for help related to your account status.

Account Status

Typically takes 2-3 business days to process uploaded documents.

Check your account status here.

Maximum file size of 10MB
Limit 5 files per upload.

Select form type **左記選択項目よりファイルの種類**
 Online Service Agreement
 オンラインサービス契約
 W-8BEN Form
 海外在住の証明書
 Margin/Option Application
 Customer Identification
 Change Contact Information Form
 Outgoing Wire Authorization Form
 ACH Electronic Funds Transfer Form
 IRA Distribution Form
 Account Transfer/Asset Transfer Form
 Others
 を選択する

Upload Now **ファイルを3つ全て
入れた後にクリック**

98800001

Drop Files Here
OR
Select File(s)

Please upload scanned documents.
JPEG, PNG, GIF, TIFF or PDF formats only.
Maximum file size of 5MB.
Limit 5 files per upload.

Online Service Agreement: 1-3.pdf 300.0KB Received **View** **Remove**

W-8BEN Form: 2-3.pdf 371.0KB Received **View** **Remove**

Customer Information: 3-3.pdf 300.0KB Received **View** **Remove**

Upload Now

Previous Uploads

Date	Document Name	Document Type	Account Number
2017-11-26 21:04:29	3-3.pdf	Online Service	12345678
2017-11-26	1-3.pdf		12345678

ファイルが緑になればアップロード完了です

アップロード完了後、
数日後に口座開設の申込みが完了したことを伝える下記メールが届きます
 これでFirstradeの口座開設は完了です



Customer Service
Email: service@firstrade.com
Toll Free: 1.800.869.8800
Overseas: 1.718.961.6600

Dear Taro Yamada

Congratulations! Your Firstrade account(####-##486) has been approved. Please click [here](#) to learn how to fund your account.

「here」をクリックすると送金先情報が表示されます(送金先情報の見方はP41～参照)
 If you have any further questions, please don't hesitate to [contact us](#).

Thank you for choosing Firstrade.

Firstrade Securities Inc.
 New Accounts Department

Copyright 2018 IBC Consulting, LLC

■送金先情報

・ご自身の口座へ入金する方法

株式を取引(購入)するためには、まず証券口座へ購入資金を海外送金する必要があります

以下の情報を参考に、各金融機関の手続き方法に基づきお手続きをしてください

The screenshot shows the FirstTrade Investor Center homepage. The top navigation bar includes links for Home, My Accounts, Trading, Research & Tools, Retirement & Planning, Education, and Customer Service. The 'Home' link is highlighted with a red box. The main content area features a section titled 'Fund Your Account?' with options for 'Transfer Cash from your Bank (ACH)', 'Wire Funds', 'Deposit a Check', and 'Transfer Assets from another Financial institution'. The 'Wire Funds' link is highlighted with a red box and a red arrow pointing to it. The right sidebar contains sections for Referral Program, Important Notices, and Quick Links.

「International」(海外から送金する)をクリックしてください

The screenshot shows the 'Deposit/Transfer' section of the FirstTrade website. The top navigation bar is identical to the previous screenshot. The main content area shows a 'Deposit/Transfer' form with fields for Cash Transfer, Account Transfer, Transaction Status, Bank Profile Setting, and Select Account (12345678). A 'Wire Transfer' dropdown is highlighted with a red box and a red arrow pointing to it. The 'VIEW' section shows 'Domestic' and 'International' options, with 'International' highlighted with a red box and a red arrow pointing to it. The right sidebar contains sections for Cash Available for Withdrawal, Quick Links, and Educational Video.

送金先情報が表示されます
下記情報に基づきお手続きをしてください

WIRE FUNDS INTO YOUR FIRSTRADE ACCOUNT

VIEW: Domestic | **International**

From an International Bank 海外の銀行から送金するとき

PLEASE PROVIDE THE FOLLOWING INFORMATION TO THE SENDING INSTITUTION:

Amount:	U.S. Dollar amount \$	送金通貨
Bank Name:	BMo Harris Bank	銀行名
Bank Address:	111 W Monroe St, Chicago, IL 60603	銀行住所
SWIFT CODE:	HATRUS44	SWIFTコード
Account Name:	Apex Clearing Corporation	受取人名
Apex Clearing Address	One Dallas Center 350 N. St Paul, Suite 1300, Dallas, TX 75201	受取人住所
Account Number	1617711	口座番号
For Final Credit To:	Please provide your 8 digit Firstrade account number along with your full name 備考欄に8桁の口座番号とあなたのフルネームを必ず記入してください	

Important:

1. Incoming third-party wires will be rejected and returned.
2. Incoming wires received without information of "For Final Credit To" will be returned.
3. Funds are available immediately after receipt of the wire.
4. For international wire transfers, a fee may be charged by intermediate banks.

*If you have any additional questions, please contact a Firstrade at 1-718-961-6600 or email service@firstrade.com.

1. 第3者から届いた場合は、拒否・返却されます
2. 8桁の口座番号とあなたの名前(フルネーム)がないと返却されます
3. 資金を受領した直後から利用可能です
4. 海外送金の場合は、中間銀行が手数料を請求することがあります

印刷したい
場合はクリック

PRINT PDF

送金先情報を印刷し、銀行に持参したい場合は「PRINT PDF」(PDFを印刷する)をクリックすると印刷できます

※詳しい送金手続き方法、手続きに必要な各種持参品については各金融機関のホームページ等をご確認ください

印刷用の送金先情報が表示されます
こちらを印刷し、海外送金する銀行へお持ちください



※こちらは海外送金する銀行へ提出する書類ではありません
ご自身のメモ(控え)としてお持ちください

Wire Fund Instructions 送金先指示書

Please provide the following instructions to your bank or financial institution to wire funds into your Firstrade account. Firstrade will only accept wires from accounts that are titled exactly as the receiving Firstrade account.

Wire Funds to:

Bank Name:	BMO Harris Bank	銀行名
Bank Address:	111 W Monroe Street, Chicago, IL 60603	銀行住所
ABA number:	071000288 (Domestic)	ABAナンバー
SWIFT Code:	HATRUS44 (International)	SWIFTコード
Account Name:	Apex Clearing Corporation (One Dallas Center 350 N. St Paul, Suite 1300, Dallas, TX 75201)	受取人名
Account Number:	1617711	口座番号

Amount (U.S Dollar): **10, 000**
送金額(※米ドル建て) \$ _____

For the Benefit/Credit of: **Taro Yamada**
名前(英字:フルネーム) _____
(Name as it appears on your Firstrade Account)

Firstrade Account Number: **87654321**
口座番号(8桁) _____
(Your 8 digit Firstrade Account Number)

Important Reminder:

1. Incoming third-party wires will be rejected and returned.
2. If you are wiring funds from a bank account held jointly, please have your bank include all account holders' names as "sender".
3. Incoming wires received without "For the benefit/credit of" specified will be returned.
4. For international wire transfers, a fee may be charged by intermediate banks.

(If you need additional assistance or have any questions, please contact us at 1-800-869-8800.)

■海外送金手数料一覧

海外送金の際の主な金融機関の送金手数料です(2017年7月現在)
詳細は各金融機関へ直接お問い合わせください

金融機関名	手数料	備考
ゆうちょ銀行	2,500円	・送金金額から口座登記料(受取人の口座に入金する際に受取機関が収納する手数料)や仲介手数料(送金処理を仲介する機関が収納する手数料)等が差し引かれる場合あり
三菱東京UFJ銀行	口座引落:4,500円 現金扱:5,500円	—
三井住友銀行	・海外送金手数料:4,000円 ・関係銀行手数料:2,500円	・受取人取引銀行または送金の経由銀行で発生する手数料を依頼人負担とした場合に発生 ・受取人取引銀行または送金の経由銀行から後日4,000円を超える請求があった場合は差額を請求
新生銀行	2,000円	・中継銀行手数料:受取銀行に資金が着金するまでの間に経由する銀行で発生する場合の手数料 ・受取銀行手数料:受取銀行で発生する手数料
りそな銀行	・送金手数料:6,000円 ・関係銀行手数料:2,500円 ・リフティングチャージ:0.05% (最低1,500円)	・関係銀行手数料は海外での手数料を依頼人負担とする場合発生し、海外での手数料が2,500円を超える場合は追加で発生

※各金融機関HP引用

— MEMO —

●米国証券口座開設についてよくある質問を
下記URLにまとめさせていただきました
ご確認ください

<http://gaikokukabu.capital-consulting.info/>

IBC Consulting, LLC

※2018年2月現在の情報です
内容は予告なく更新する場合があります