

Firsttrade 証券口座 開設マニュアル

Ver2.0

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Firsttrade 証券口座の開設について

◆Firsttrade(ファーストレード)とは◆
アメリカのインターネット証券会社です

◆Firsttradeの特徴◆

- ・無料で口座開設が出来る
- ・米国非居住者でも口座開設が可能
- ・オンラインで取引が可能

◆注意事項◆

Firsttradeは日本語窓口がなく、英語のみの対応となります

また、2013年11月に日本人を含む特定国籍者の新規口座開設を一時停止していた過去があります

口座開設手続きは自己の費用と責任において行うものとし、いかなる場合においても当社は一切の責任を負いかねますことをご了承ください

尚、当マニュアルに記載の情報と、実際の手続き方法が異なってくる場合がございます

マニュアルに関するクレームは一切お受けいたしかねますので、予めご了承ください

■開設する前に
口座開設するためには

- ・パスポート
- ・パソコン
- ・プリンター(書類を印刷します)
- ・スキャナー(書類をスキャンし、PDF化します)



上記の環境が整っていないと口座開設ができません

◆口座開設の流れ◆

①オンライン上で申込フォームに情報を入力して申込む

※途中で申込手続きを中断した場合、入力内容は保存されません
最初から申込手続きを行ってください



②申込用紙(PDF)を2枚印刷し、署名をする



③「Firstrade」へログイン



④申込用紙(2枚)＋パスポートのコピーをアップロードする

■ 証券口座開設手続きにあたり、以下の情報をご記入ください

○ 登録するメールアドレス

※フューチャーフォン(@ezweb.ne.jp @docomo.ne.jp @softbank.ne.jpなど)のメールアドレスはFirstradeからのメールが受け取れない可能性があるため使用しないでください

※※個人情報となりますので自己責任の上ご記入いただき、ご自身での管理を徹底してください

メールアドレス(例: taro.yamada@gmail.com)

■ Firstradeへのアクセス方法

検索サイトに「Firstrade」と入力し、検索ボタンを押してください

または、<https://www.firstrade.com/content/en-us/welcome>にアクセスしてください



画面上部にあるオレンジ色の「Open an Account」をクリックしてください



■開設手続き(Online Account Application)

申込画面が開きますので空白箇所を入力してください

FIRSTRADE
Online Account Application

Call 1-800-899-8800 or [Live Chat](#)

First Name
Taro ← **名 ※英字**

Last Name
Yamada ← **姓 ※英字**

Email Address
taro.yamada@gmail.com ← **メールアドレス**

Are you a citizen of the United States?
☐ Yes ☒ No ← **あなたはアメリカ国民ですか？
「No」をクリック**
※「No」をクリックすると更に質問事項が増えます

[Get Started](#)

Email Address
taro.yamada@gmail.com

Are you a citizen of the United States?
☐ Yes ☒ No

Are you a permanent resident of the United States?
☐ Yes ☒ No ← **あなたはアメリカ永住者ですか？
「No」をクリック**

Do you currently live in the United States?
☐ Yes ☒ No ← **あなたは現在アメリカに住んでいますか？
「No」をクリック**

[Get Started](#) ← **確認後、クリック**

Please have the following information before proceeding:

- Official name (English name appear on your passport)
- Permanent address of residence
- Employer's name and address (if applicable)
- Valid passport from your country of citizenship

確認する

Please have the following information before proceeding:

次(Get Started)へ進む前に、以下の情報をご確認下さい:

- Official name (English name appear on your passport)
正式名称(パスポートに表記されている英語の名前)
- Permanent address of residence
居住地
- Employer's name and address (if applicable)
雇用者の氏名と住所(該当する場合)
- Valid passport from your country of citizenship
有効なパスポート

■開設手続き(1 Account Type and Features)

口座種別・トレード方法を選択します

FIRSTRADE
Online Account Application

Call 1-800-869-8800 or [Live Chat](#)

1 2 3 4 **Account Type and Features**

What type of brokerage account would you like to open?

☒ Individual Account **クリック** Individual Account
☐ Joint Account - WROS
 Standard brokerage account in the name of one owner.
[Click here to download forms for additional account types not listed above.](#)

Please select the features you would like for your brokerage account.

☒ Cash Trading **クリック**
☐ Cash and Margin Trading (For information on margin trading, [click here](#))
☐ Options Trading Privileges

Continue **クリック**

What type of brokerage account would you like to open?

(ご希望の口座種別を選択してください): 「Individual Account(個人口座)」をクリック

Please select the features you would like for your brokerage account.

(ご希望のトレード方法を選択してください): 「Cash Trading(現物取引)」をクリック

「Continue(続行)」をクリック

■開設手続き(2 Personal Profile)

個人情報を入力するページに移ります

FIRSTRADE
Individual Account Application

Call 1-800-886-8800 or [Live Chat](#)

1 2 3 4 **Personal Profile**

Personal Information

Prefix (optional) 敬称
<Select Here> **クリック**

First Name Middle Initial (optional)
Taro **名※確認する**

Last Name Suffix (optional)
Yamada **姓※確認する**

Date of Birth

※前画面で入力したFirst Name(名)/Last Name(姓)が自動的に反映されているので間違いがないか確認してください

Prefix(敬称):「V」をクリック
クリックすると選択肢が出てきます

1 2 3 4 **Personal Profile**

Personal Information

Prefix (optional) 敬称

- Mr
- Mrs
- Ms
- Miss
- Dr
- Rev

Last Name
Yamada

Date of Birth

敬称
 「Mr(男性)」 「Mrs(既婚女性)」
 「Ms(未既婚問わない女性)」
 「Miss(未婚女性)」 「Dr(博士・医者)」
 「Rev(牧師)」
 より選択する

生年月日の入力と国籍を選択します

1 2 3 4 Personal Profile

Personal Information

Prefix (optional)

Mr

First Name

Taro

Middle Initial (optional)

Last Name

Yamada

Suffix (optional)

Date of Birth

04/20/1979

生年月日(月/日/年) ※「/」スラッシュは入力不要

Country of Citizenship

<Select Here>

市民権

「V」をクリック

クリックすると選択肢が出てきます

Marital Status

<Select Here>

Number of dependents

0

First Name

Taro

Middle Initial (optional)

Last Name

<Select Here>

Australia
Belgium
China
France
Germany
Hong Kong
Ireland
Israel
Italy
Japan
Macau
Mexico
New Zealand
Poland
Singapore
South Korea
Spain
Taiwan
United Kingdom

Suffix (optional)

Number of dependents

0

Japan(日本)を選択

Alternate Phone # (optional)

婚姻状況を選択し、扶養家族数を入力します

Date of Birth
04/20/1975

Country of Citizenship
Japan

Marital Status 婚姻状況
<Select Here>

Number of dependents
0

Contact Information

Main Phone #
-

Alternate Phone # (optional)
-

Marital Status
<Select Here>
Single
Married
Divorced
Widowed

Number of dependents
0

Main Phone #
-

Alternate Phone # (optional)
-

Marital Status
Married

Number of dependents
3

Foreign Tax ID Number (FTIN)
A Tax ID Number issued by your country of residence. If a FTIN is not available, a reasonable explanation must be provided.

Enter Foreign TIN or Explanation

☐ Check here if you are not legally required.

Contact Information

Main Phone #
-

Alternate Phone # (optional)
-

E-Mail Address
taro.yamada@gmail.com

Confirm E-Mail
taro.yamada@gmail.com

「V」をクリック
クリックすると選択肢が出てきます

婚姻状況
「Single(独身)」「Married(既婚)」「Divorced(離婚)」「Widowed(死別)」より選択してください

扶養家族数
ご自身を含む扶養家族の人数を入力してください

納税者番号、電話番号と住所を入力します

Foreign Tax ID Number (FTIN) 納税者番号

A Tax ID Number issued by your country of residence. If a

123456789012

☐ Check here if you are not legally required.

Contact Information

納税者番号(マイナンバー)

2018年1月より納税者番号の入力が必須
となっています

12ケタの納税者番号を入力してください

Contact Information

Main Phone # 電話番号

Alternate Phone # (optional)



「地球儀のマーク」をクリック
クリックすると選択肢が出てきます

Italy +39

Japan +81

Macau +853

Mexico +52

New Zealand +64

国番号

「Japan +81」を選択します

Contact Information

Main Phone

Alternate Phone # (optional)

+819012345678

電話番号先頭「0」を省いて入力します
例: 09012345678 → 9012345678

E-Mail Address

Confirm E-Mail

taro.yamada@gmail.com

taro.yamada@gmail.com

Current Address of Residence

Country 居住国

<Select Here>



居住国

「V」をクリック
クリックすると選択肢が出てきます

Address Line 1 (No P.O. Boxes or C/O)

Street name and house number



Current Address of Residence

<Select Here>

Australia
Belgium
China
France
Germany
Hong Kong
Ireland
Israel
Italy
Japan
Macau
Mexico
New Zealand
Poland
Singapore
South Korea
Spain
Taiwan
United Kingdom

居住国
「Japan」を選択します

Current Address of Residence

Country 
Japan 

Address Line 1 (No P.O. Boxes or C/O)
1-2-3 Kashiwagi

Address Line 2 (optional)

※入力不要

City
Minato-Ku Tokyo

Postal code
1050001

Years at Residence
10

☐ Check here if your mailing address is different from your current address of residence.

Previous

Clear

Continue

丁目-番地、町村
※住所記入例 参照
※文字入力制限あり(30文字)

市町村名と都道府県名
※住所記入例 参照
※文字入力制限あり(30文字)

郵便番号(※「-」ハイフン不要)

居住年数

全て入力後
クリック

※住所記入例

〒105-0001	⇒	Postal Code	→	1050001
東京都港区	⇒	City	→	Minato-ku Tokyo
柏木1-2-3	⇒	Address Line 1	→	1-2-3 Kashiwagi

雇用状況を入力します

1 2 3 4 Personal Profile

Employment Information

Employment Status 雇用状況
<Select Here> ▼

Financial Information

Annual Income
<Select Here>

Liquid Net Worth
<Select Here>

Total Net Worth
<Select Here>

Previous Clear Continue

雇用状況
「V」をクリック
クリックすると選択肢が出てきます

1 2 3 4 Personal Profile

Employment Information

Employment Status
<Select Here>
Employed (full-time/part-time)
Self-Employed
Retired
Student
Student-Employed
Homemaker
Not-Employed

Liquid Net Worth
<Select Here> ▼

Total Net Worth
<Select Here> ▼

「Employed(従業員)」
「Self-Employed(自営業)」
「Retired(退職)」
「Student(学生)」
「Student-Employed(勤労学生)」
「Homemaker(主婦)」
「Not-Employed(未就業)」
より該当する項目を選択してください

「Employed(従業員)」 「Self-Employed(自営業)」
を選択した方は次のページへ
「Retired(退職)」を選択した方は18ページへ
「Homemaker(主婦)」を選択した方は22ページへ
進んでください

「Employed(従業員)」「Self-Employed(自営業)」を選択した場合

Employment Information

Employment Status

Employed (full-time/part-time)

Company Name

ABC Co., Ltd.

Years at Employer

20

Type of Business 職業

<Select Here>

Position/Title

Company Address

Country

<Select Here>

Address Line 1 (No P.O. Boxes or C/O)

Street name and house number

Address Line 2 (optional)

Postal code

会社名

勤続年数

クリック
クリックすると選択肢が出てきます

Personal Profile

Employed (full-time/part-time)

Company Name

ABC Co., Ltd.

Accounting/Auditing
Advertising/Marketing
Banking
Biotechnology & Pharmaceutical
Construction
Consulting Services
E-Commerce
Education
Financial Services
Food Services
Government - National & Local
Human Resources & Training
Insurance
IT Services/Software
Law Enforcement/Security
Legal Services
Leisure & Hospitality
Libraries
Manufacturing & Production
Media
Medical & Healthcare
Military & Defense
Natural Resources & Mining
Real Estate
Retail/Wholesale
Social Services
Telecommunications
Transportation & Logistics
Utilities
Other

以下より、該当する職業を1つ選択してください

- Accounting(会計士)
- Advertising/Marketing(広告/マーケティング)
- Banking(銀行員・金融)
- Biotechnology & Pharmaceutical(バイオテクノロジー/製薬)
- Construction(建設)
- Consulting Services(コンサルタント)
- E-Commerce(eコマース)
- Education(教育)
- Financial Services(ファイナンシャル)
- Food Services(フードサービス)
- Government - National & Local(政府関連)
- Human Resources & Training(人事)
- Insurance(保険)
- IT Services/Software(ITサービス/ソフトウェア)
- Law Enforcement/Security(法執行請負業者、治安警備請負業者)
- Legal Services(法律関連)
- Leisure & Hospitality(レジャー&ホスピタリティ)
- Libraries(図書館)
- Manufacturing & Production(製造業)
- Media(広報)
- Medical & Healthcare(医療&ヘルスケア)
- Military & Defense(軍事・防衛)
- Real Estate(不動産)
- Retail/Wholesale(小売り/卸売業)
- Social Services(社会福祉)
- Telecommunications(電気通信)
- Transportation & Logistics(運送&物流)
- Utilities(公共事業)
- Other(その他)

Employment Information

Employment Status
Employed (full-time/part-time) ▼

Company Name
ABC Co., Ltd.

Years at Employer
20

Type of Business
Construction ▼

Position/Title 役職
Manager

Job Descriptions (max 100 characters) 仕事内容
My main business is home construction.

Company Address

Country 会社の所在国
<Select Here> ▼

Address Line 1 (No P.O. Boxes or C/O)

会社の所在国
「V」をクリック
クリックすると選択肢が出てきます

役職
President (社長)
Senior Managing Director (専務)
General Manager (部長)
Senior Staff (主任)
StaffまたはMember (社員)

仕事内容を簡潔に英語で記入
※100文字以内
例: My (main) job is _____
I'm a _____

Employment Information

Employment Status
Employed (full-time/part-time) ▼

Company Name
ABC Co., Ltd.

Years at Employer
20

Type of Business
Construction ▼

Position/Title
Manager

Job Descriptions (max 100 characters)
My main business is home construction.

Company Address

Country
<Select Here>
United States
Australia
Belgium
China
France
Germany
Hong Kong
Ireland
Israel
Italy
Japan
Macau
Mexico
New Zealand
Poland
Singapore
South Korea
Spain
Taiwan
United Kingdom

「Japan」を選択

会社の住所を入力します

Employment Information

Employment Status

Employed (full-time/part-time)

Company Name

ABC Co., Ltd.

Years at Employer

20

Type of Business

Construction

Position/Title

Manager

Job Descriptions (max 100 characters)

My main business is home construction.

Company Address

Country

Japan

Address Line 1 (No P.O. Boxes or C/O)

1-2-3 Nonohara

Address Line 2 (optional)

City

Nerima-ku Tokyo

Postal code

1760001

丁目・番地、町村
※住所記入例参照
※文字入力制限あり(30文字)

市町村名と都道府県名
※住所記入例参照
※文字入力制限あり(30文字)

郵便番号(※「ー」ハイフン不要)

※住所記入例

〒176-0001	⇒	Postal Code	→	1760001
東京都練馬区	⇒	City	→	Nerima-ku Tokyo
野々原1-2-3	⇒	Address Line 1	→	1-2-3 Nonohara

Financial Information

Primary Income Source

Employer

Annual Income 年収

<Select Here>



年収

「V」をクリック

クリックすると選択肢が出てきます

Liquid Net Worth 流動資産

<Select Here>

Total Net Worth 総資産

<Select Here>

Previous

Clear

Continue

Financial Information

Primary Income Source

Employer

Annual Income 年収

<Select Here>

Under \$20,000

\$20,001 to \$25,000

\$25,001 to \$50,000

\$50,001 to \$100,000

\$100,001 to \$200,000

\$200,001 to \$300,000

\$300,001 to \$500,000

\$500,001 to \$1,200,000

Over \$1,200,000

該当する年収を選択してください(※米ドル換算)

Under \$20,000 (220万円以下)

\$20,001 - \$25,000 (220万円以上 ~ 275万円未満)

\$25,001 - \$50,000 (275万円以上 ~ 550万円未満)

\$50,001 - \$100,000 (550万円以上 ~ 1,100万円未満)

\$100,001 - \$200,000 (1,100万円以上 ~ 2,200万円未満)

\$200,001 - \$300,000 (2,200万円以上 ~ 3,300万円未満)

\$300,001 - \$500,000 (3,300万円以上 ~ 5,500万円未満)

\$500,001 - \$1,200,000 (5,500万円以上 ~ 1億3,200万円未満)

Over \$1,200,000 (1億3,200万円以上)

※\$1 = 110円 計算

Financial Information

Primary Income Source

Employer

Annual Income

\$50,001 to \$100,000



Liquid Net Worth 流動資産

\$25,001 to \$50,000



Total Net Worth 総資産

\$50,001 to \$100,000



流動資産(※米ドル換算)

⇒すぐに現金化できる資産

該当する流動資産を選択してください

総資産(※米ドル換算)

該当する総資産を選択してください

※総資産は流動資産より多く設定してください

クリック

Previous

Clear

Continue

23ページへ進んでください

「Retired(退職)」を選択した場合

Employment Information

Employment Status

Retired

Previous Company Name

ABC Co., Ltd.

Years at Employer

40

Type of Business 職業

<Select Here>

Position/Title

Previous Company Address

Country

<Select Here>

Address Line 1 (No P.O. Boxes or C/O)

Street name and house number

Address Line 2 (optional)

前職の会社名

勤続年数

クリック

クリックすると選択肢が出てきます

Employment Information

Employment Status

Accounting/Auditing
Advertising/Marketing
Banking
Biotechnology & Pharmaceutical
Construction
Consulting Services
E-Commerce
Education
Financial Services
Food Services
Government - National & Local
Human Resources & Training
Insurance
IT Services/Software
Law Enforcement/Security
Legal Services
Leisure & Hospitality
Libraries
Manufacturing & Production
Media
Medical & Healthcare
Military & Defense
Natural Resources & Mining
Real Estate
Retail/Wholesale
Social Services
Telecommunications
Transportation & Logistics
Utilities
Other

以下より、該当する職業を1つ選択してください

- ・Accounting(会計士)
- ・Advertising/Marketing(広告/マーケティング)
- ・Banking(銀行員・金融)
- ・Biotechnology & Pharmaceutical(バイオテクノロジー/製薬)
- ・Construction(建設)
- ・Consulting Services(コンサルタント)
- ・E-Commerce(eコマース)
- ・Education(教育)
- ・Financial Services(ファイナンシャル)
- ・Food Services(フードサービス)
- ・Government - National & Local(政府関連)
- ・Human Resources & Training(人事)
- ・Insurance(保険)
- ・IT Services/Software(ITサービス/ソフトウェア)
- ・Law Enforcement/Security(法執行請負業者、治安警備請負業者)
- ・Legal Services(法律関連)
- ・Leisure & Hospitality(レジャー & ホスピタリティ)
- ・Libraries(図書館)
- ・Manufacturing & Production(製造業)
- ・Media(広報)
- ・Medical & Healthcare(医療 & ヘルスケア)
- ・Military & Defense(軍事・防衛)
- ・Real Estate(不動産)
- ・Retail/Wholesale(小売り/卸売業)
- ・Social Services(社会福祉)
- ・Telecommunications(電気通信)
- ・Transportation & Logistics(運送 & 物流)
- ・Utilities(公共事業)
- ・Other(その他)

Employment Information

Employment Status

Retired

Previous Company Name

ABC Co., Ltd.

Years at Employer

40

Type of Business

Construction

Position/Title

Senior Managing Director

Job Descriptions (max 100 characters)

My main business is home construction.

Previous Company Address

Country 前職の所在国

<Select Here>

Address Line 1 (No P Boxes or C/O)

前職の所在国
「V」をクリック
クリックすると選択肢が出てきます

役職

President (社長)

Senior Managing Director (専務)

General Manager (部長)

Senior Staff (主任)

StaffまたはMember (社員)

仕事内容を簡潔に英語で記入
※100文字以内

例: My (main) job is _____

I'm a _____

Employment Information

Employment Status

Retired

Previous Company Name

ABC Co., Ltd.

Years at Employer

40

Type of Business

Construction

Position/Title

Senior Managing Director

Job Descriptions (max 100 characters)

My main business is home construction.

Previous Company Address

Country

<Select Here>

United States
Australia
Belgium
China
France
Germany
Hong Kong
Ireland
Israel
Italy
Japan
Macau
Mexico
New Zealand
Poland
Singapore
South Korea
Spain
Taiwan
United Kingdom

国

「Japan」を選択

前職の住所を入力します

Employment Information

Employment Status

Retired

Previous Company Name

ABC Co., Ltd.

Years at Employer

40

Type of Business

Construction

Position/Title

Senior Managing Director

Job Descriptions (max 1000 characters)

My main business is home construction.

Previous Company Address

Country

Japan

Address Line 1 (No P.O. Boxes or C/O)

1-2-3 Nonohara

Address Line 2 (optional)

City

Nerima-ku Tokyo

Postal code

1760001

丁目-番地、町村
※住所記入例参照
※文字入力制限あり(30文字)

市町村名と都道府県名
※住所記入例参照
※文字入力制限あり(30文字)

郵便番号(※「ー」ハイフン不要)

※住所記入例

〒176-0001	⇒	Postal Code	→	1760001
東京都練馬区	⇒	City	→	Nerima-ku Tokyo
野々原1-2-3	⇒	Address Line 1	→	1-2-3 Nonohara

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金融情報を入力します

The image displays two screenshots of a 'Financial Information' form, illustrating the process of selecting a primary income source.

Top Screenshot: The 'Primary Income Source' dropdown menu is currently set to '<Select Here>'. A red arrow points to this dropdown with the text: 現在の主な収入「V」をクリック
クリックすると選択肢が出てきます (Click the 'V' for the current main income. Clicking it will show the options).

Bottom Screenshot: The 'Primary Income Source' dropdown menu is open, showing the following options: Investments (投資), Spouse (配偶者), Social Security / Pension (社会保障年金), and Other (その他). A red arrow points to this list with the text: Investments (投資)
Spouse (配偶者)
Social Security / Pension (社会保障年金)
Other (その他)
より、該当する収入を選択ください (Please select the appropriate income from Investments, Spouse, Social Security / Pension, or Other).

Both screenshots show the 'Liquid Net Worth' and 'Total Net Worth' dropdown menus, and the 'Previous', 'Clear', and 'Continue' buttons at the bottom.

- Primary Income Source (現在の主な収入) で「Spouse (配偶者)」「Social Security / Pension (社会保障年金)」*「Other (その他)」
- Employment Status (雇用状況) で「Homemaker (主婦)」を選択した場合

Financial Information

Primary Income Source 現在の主な収入

Spouse

Annual Income 年収

<Select Here>

Liquid Net Worth

*「Other (その他)」を選択した場合

Primary Income Source

Other

If Others, please specify:

その収入を明記する必要があります

年収
「V」をクリック
クリックすると選択肢が出てきます

Financial Information

Primary Income Source

Spouse

Annual Income 年収

<Select Here>

- Under \$20,000
- \$20,001 to \$25,000
- \$25,001 to \$50,000
- \$50,001 to \$100,000
- \$100,001 to \$200,000
- \$200,001 to \$300,000
- \$300,001 to \$500,000
- \$500,001 to \$1,200,000
- Over \$1,200,000

該当する年収を選択してください (※米ドル換算)

- Under \$20,000 (220万円以下)
- \$20,001 - \$25,000 (220万円以上 ~ 275万円未満)
- \$25,001 - \$50,000 (275万円以上 ~ 550万円未満)
- \$50,001 - \$100,000 (550万円以上 ~ 1,100万円未満)
- \$100,001 - \$200,000 (1,100万円以上 ~ 2,200万円未満)
- \$200,001 - \$300,000 (2,200万円以上 ~ 3,300万円未満)
- \$300,001 - \$500,000 (3,300万円以上 ~ 5,500万円未満)
- \$500,001 - \$1,200,000 (5,500万円以上 ~ 1億3,200万円未満)
- Over \$1,200,000 (1億3,200万円以上)

※\$1 = 110円 計算

Annual Income 年収

\$50,001 to \$100,000

Liquid Net Worth 流動資産

\$25,001 to \$50,000

Total Net Worth 総資産

\$50,001 to \$100,000

Previous Clear Continue

流動資産 (※米ドル換算)
⇒ すぐに現金化できる資産
該当する流動資産を選択してください

総資産 (※米ドル換算)
該当する総資産を選択してください
※総資産は流動資産より多く設定してください

クリック

■開設手続き(3 Investment Profile)

投資目的・投資経験について

1 2 3 4 Investment Profile

Industry regulations require us to obtain the following information in order to ensure that we can provide you with the most appropriate investment products. The information you provide will be kept strictly confidential.

Investment Objective(s) (check at least one) 投資目的

☐ Capital Preservation
☐ Income
☐ Growth
☒ Speculation

Investment Experience 投資経験

None
 Limited
 Good
 Excellent

Are you or a member of your household a director, 10% shareholder or officer of a publicly traded company?

☐ No
☐ Yes

投資目的
 該当する投資目的を選択してください
 Capital Preservation(資産保全)
 Income(配当など)
 Growth(成長株の株価上昇目当て)
 Speculation(投機)
 投機を選択してください

投資経験
 該当する投資経験を選択してください
 None(ない)
 Limited(少し)
 Good(良好)
 Excellent(とても良好)
 ※投資経験が低いと開設できない可能性があります

Affiliation Information

Are you or a member of your household a director, 10% shareholder or officer of a publicly traded company?

☒ No
☐ Yes

Are you or a member of your immediate family associated with or employed by a stock exchange, exchange member, FINRA, or registered broker/dealer?

☒ No
☐ Yes

Are you or any member of your immediate family, or any of your personal or business associates a current or former senior political figure (SPF) or politically exposed person (PEP)?

☒ No
☐ Yes

あなたやあなたの家族の株保有について
「No」をクリック

あなたやあなたの家族や知り合いで証券取引所に所属しているブローカーがいるか
「No」をクリック

あなたやあなたの家族や知り合いで(元・現)政治に関わっている人物がいるか
「No」をクリック

Additional Information

Promotion or Referral Code (optional) プロモーションコード

Referral or promotion code

How did you hear about us? (optional) どのようにFirstradeを知りましたか?

Please select

入力不要

クリック
クリックすると選択肢が出てきます

Additional Information

Promotion or Referral Code (optional) ⓘ

Referral or promotion code

Apply Code

Please select:

Family & Friends
Search Engine - Google
Search Engine - Bing / Yahoo
Facebook
Twitter
Magazine - Barron's
Magazine - Kiplinger
Magazine - Smart Money
Event
Email / Newsletter
Other - specify

Firsttradeを何で知りましたか？
該当する項目を選択してください
Family & Friends(家族や友人)
Search Engine-Google(グーグル)
Search Engine-Bing/Yahoo(ヤフー)
Facebook(フェイスブック)
Twitter(ツイッター)
Magazine-Barron's(雑誌)
Magazine-Kiplinger(雑誌)
Magazine-Smart Money(雑誌)
Event(イベント)
Email/Newsletter(メール/ニュースレター)
Other-specify(その他)

Additional Information

Promotion or Referral Code (optional) ⓘ プロモーションコード

Referral (or promotion) code

Apply Code

入力不要

How did you hear about us? (optional)

Family & Friends

Previous

Clear

Continue

クリック

■開設手続き(4 Review & Sign)

これまで入力した内容の再確認をします

1

2

3

4

Review & Sign

Review Your Information

Please review the information you have entered. To make changes or corrections, click the the "EDIT" button to jump to the section that you would like to modify.

Account Type and Features		EDIT
Account Type 口座種別	Individual Account	
Account Features トレード方法	Cash	
Personal Information		EDIT
Name 名前(敬称)	Mr. Taro Yamada	
Date of Birth 生年月日	04/20/1975	
Citizenship Status (米国の)市民権	Non-U.S. Resident	
Country of Citizenship 国籍	Japan	
Marital Status 婚姻状況	Married	
Dependents 扶養家族数	3	
Main Phone # 電話番号	+819012345678	
E-Mail Eメール	taro.yamada@gmail.com	
Residential Address 住所	1-2-3 Kashiwagi Minato-ku Tokyo 1050001 Japan	
Years at Residence 居住年数	10	
Mailing Address 送付先住所	same as residential address	
Employment Information		EDIT
Employment Status 雇用状況	Employed	
Company Name 会社名	ABC Co., Ltd.	
Years at Employer 勤続年数	20	
Type of Business 職業	Construction	
Position/Title 役職	Manager	
Job Descriptions 仕事内容	My main business is home construction.	
Company Address 会社住所	1-2-3 Nonohara Nerima-ku Tokyo 1760001 Japan	

入力に間違いがないか
確認し、修正箇所があれば
各項目の"EDIT"ボタンを押して
修正してください

Financial Information			EDIT
Primary Income Source	現在の主な収入源	Employer	
Annual Income	年収	\$50,001 to \$100,000	
Liquid Net Worth	流動資産	\$25,001 to \$50,000	
Total Net Worth	総資産	\$50,001 to \$100,000	
Investment Information			EDIT
Investment Objective(s)	投資目的	Speculation	
Investment Experience	投資経験	Good	
Affiliation Information			EDIT
Shareholder of Company	株保有について	No	
Affiliated Broker/Dealer	ブローカーについて	No	
Political Affiliation	政治について	No	
Additional Information			EDIT
Promotion or Referral Code	プロモーションコード		
How did you hear about us?	どこでFirsttradeを知ったか	Family & Friends	

Continue

修正箇所がなければ
クリック

Terms and Conditions 取引条件

- 1) I (We) would like to open a Cash account with Firstrate Securities Inc. ("Firstrate") to be carried by Apex Clearing. I (We) am (are) of legal age to contract. I (We) acknowledge that I (We) have received, read and agree to be bound by the terms and conditions as set forth in the [Firstrate Account Agreement](#) as amended from time to time.
- 2) I (We) acknowledge that Firstrate and Apex Clearing do not provide investment, tax, or legal advice or recommendations.
- 3) I (We) acknowledge that, in accordance with Paragraph 23 of the Firstrate account agreement, I (We) agree in advance to arbitrate any controversies which may arise with each or both of you.
- 4) I (We) understand that you will supply my (our) name(s) to issuers of any securities held in my (Our) account so that I (We) might receive any important information regarding them; unless I (We) notify you in writing not to do so.

☒ **✓を入れる** I have read, understand and accept the terms and conditions of the Online Service Agreements.

First Name:
TARO

Middle Name:

Last Name:
YAMADA

Previous

Continue

クリック

Electronic Document Delivery 電子文書配信

PLEASE READ THE FOLLOWING:

Firstrate Securities Inc. is required to send you trade confirmations, monthly account statements, tax documents, and proxies/prospectuses, and other account documents in writing.

Firstrate Securities Inc., through its clearing firm, Apex Clearing Corporation (Apex Clearing), shall send notification regarding these documents to your e-mail address as it appears on the account. By sending this notification by e-mail, Apex Clearing will fulfill its regulatory obligation to provide written notification of these documents. The transmission of such communications by e-mail will be considered personal delivery, whether or not you actually receive it. You understand that all documents will only be transmitted online unless you check the box below or you deliver written revocation of this agreement to Firstrate Securities Inc. Upon receipt, Firstrate Securities Inc. will forward paper copies of the documents by regular mail to your address of record.

Trade confirmations for the execution of orders will be considered conclusive if you do not submit a written objection within two days after Apex Clearing has sent such confirmation by e-mail. Monthly account statements will be considered conclusive if you do not submit a written objection within 10 days after Apex Clearing has sent such statement by e-mail. You understand that Firstrate Securities Inc. and Apex Clearing are not

You can view and download your account related documents (statements, confirms, 1099s, etc.) by logging in to Firstrate.com.

Previous

Continue

クリック

ユーザーID、パスワード、暗証番号(4桁)を設定します

Account Access Setup

If you are already a Firsttrade customer and want to link this new account to your existing User ID, [click here](#).

Please complete the following login-related information below. You will use this information to access your Firsttrade.com brokerage account.

User ID

Password

Re-Enter Password

Using your mouse, please create a 4-digit PIN.

7 8 9
4 5 6
1 2 3
0 CLEAR PIN

Re-Enter code to confirm.

* * * *
Code created successfully.

再入力すると「成功」と表示されます

ユーザーIDを入力
4～16文字
アルファベット・数字・記号(- . _)が使用可能

パスワードを入力
8～32文字
アルファベット+数字を含む
記号も使用可能

パスワードを再入力してください

4桁の暗証番号を設定
「再入力」と表示されます

再入力するとき

※ユーザーID、パスワード、暗証番号は今後ログインする際に必要となりますので、忘れないよう注意してください

※※個人情報となりますので自己責任の上ご記入いただき、ご自身での管理を徹底してください。

ユーザーID

パスワード

暗証番号(4桁)

秘密の質問を2つ設定します

To protect the security of your account, please complete the two of the following security questions. (This information will be used to identify you when you contact us.)

Secret Question One

Select your first question

Answer One

Secret Question Two

Select your second question

Answer Two

クリック

クリックすると選択肢が出てきます



Your favorite book
Your favorite animal
The first name of your grade school best friend
The last name of your childhood best friend
Your favorite cartoon character
In what city were you born
In what city was your father born
The name of the street on which you grew up
The first name of your father's mother
The first name of your mother's father
The first name of your mother's mother
Your favorite board game
The first name of your father's father
Your favorite hobby
Your favorite magazine
Your favorite musical performer/group
Your favorite television show
The first foreign country you visited
Your major during college
Your youngest child's middle name
Which company did you hold your first job
In which city were you born
Your favorite flower
Your oldest sibling's nickname
In which city was your spouse born
The first name of your favorite athlete
Your childhood nickname
The model of your first car
The first name of your first boss
Your favorite movie

Your favorite book (好きな本)
Your favorite animal (好きな動物)
The first name of your grade school best friend
(小学校の時の親友の名前(名))
The last name of your childhood best friend
(子供のときの親友の名前(姓))
Your favorite cartoon character (好きなアニメのキャラクター)
In what city were you born (生まれた都市)
In what city was your father born (父が生まれた都市)
The name of the street on which you grew up
(生まれ育ったストリート名)
The first name of your father's mother (父方の祖母の名前(名))
The first name of your mother's father (母方の祖父の名前(名))
The first name of your mother's mother (母方の祖母の名前(名))
Your favorite board game (好きなボードゲームの名前)
The first name of your father's father (父方の祖父の名前(名))
Your favorite hobby (好きな趣味)
Your favorite magazine (好きな雑誌)
Your favorite musical performer/group (好きな音楽グループ)
Your favorite television show (好きなテレビ番組)
The first foreign country you visited (初めて訪れた国)
Your major during college (大学時代の専攻)
Your youngest child's middle name (一番下の子のミドルネーム)
Which company did you hold your first job (初めて働いた会社名)
In which city were you born (生まれた都市)
Your favorite flower (好きな花)
Your oldest sibling's nickname (一番年上の兄弟のニックネーム)
In which city was your spouse born (配偶者の生まれた都市)
The first name of your favorite athlete (好きなアスリートの名前(名))
Your childhood nickname (子供の頃のニックネーム)
The model of your first car (初めての車のモデル)
The first name of your first boss (初めての上司の名前(名))
Your favorite movie (好きな映画)

秘密の質問の設定が終わったら、「Continue」をクリック

To protect the security of your account, please complete the two of the following security questions. (This information will be used to identify you when you contact us.)

Secret Question One

Your favorite animal

Answer One

Secret Question Two

Your favorite cartoon character

Answer Two

回答を英字で入力

回答を英字で入力

クリック

この画面が表示されれば、オンライン手続きは完了です
 口座番号(8桁)が発行されますので忘れないよう注意してください
 同時にオンライン登録完了をお知らせするメールも届きます(P34参照)
※※個人情報となりますので自己責任の上ご記入いただき、ご自身での管理を徹底してください

Congratulations! Welcome to Firstrade.

Your new brokerage account number is

■■■■-■■■■ ←口座番号(8桁)

Please submit Personal ID copy and all required Account Agreement & Documents*:

1. Please provide a photocopy of your valid passport. The photocopy must show your photograph, personal information, and signature.
Additionally, if your country of residence is different than your mailing address you must submit proof of residency. Acceptable proofs of residency include: a utility bill, rent receipt, bank statement which shows the address of your country of residence.
2. Document checklists:
 - Online Service Agreement ⓘ
 - Substitution Form W8-BEN ⓘ

*Failure to submit above required items will cause account restriction

Submit documents through **File Upload**

Log in to our website, click on **Customer Service** → **Form Center** → **Upload Form**

How to Upload a Form

[more options](#)

口座番号(8桁)

■書類のダウンロード方法

“Print Pre-Filled Forms & Sign”ボタンを押すと、PDFで書類が2枚表示されます
“ONLINE SERVICE AGREEMENT”と“W-8BEN”を印刷してください

Congratulations! Welcome to Firsttrade.

Your new brokerage account number is

■■■■-■■■■ ←口座番号(8桁)

[Login to Firsttrade](#)

Please submit Personal ID copy and all required Account Agreement & Documents*:

1. Please provide a photocopy of your valid passport. The photocopy must show your photograph, personal information, and signature.
Additionally, if your country of residence is different than your mailing address you must submit proof of residency. Acceptable proofs of residency include; a utility bill, rent receipt, bank statement which shows the address of your country of residence.
2. Document checklists:
 - Online Service Agreement ⓘ
 - Substitution Form W8-BEN ⓘ

*Failure to submit above required items will cause account restriction

[Print Pre-Filled Forms & Sign](#)

Submit documents through **クリック**

Log in to our website, click on **Customer Service** → **Form Center** → **Upload Form**

[How to Upload a Form](#)

[more options](#)

1枚目: ONLINE SERVICE AGREEMENT (オンラインサービス契約)

1ページ目



ONLINE SERVICE AGREEMENT

Account Number: [REDACTED] - [REDACTED]

Sign and fax this document to 718-961-3919 OR mail to the address above

Primary Applicant

Personal Information		Address	
Name	TARO YAMADA	Street Address 1	1-2-3, KASHIWAZI, MINATO-KU
Age	42	Street Address 2	
SSN		City	TOKYO
Main #	819012345678	State	
Secondary #		Postal/Zip Code	105-0001
E-Mail Address	taro.yamada@gmail.com	Country	JAPAN

Please Read and Sign Below

Rule 144-1(c) of the Securities Exchange Act, and beneficial owners of the issuer's securities which only. If you object to this disclosure, check this box []

I would like to establish a brokerage account with Firsttrade Securities Inc. which clears all transactions through Apex Clearing. I acknowledge by signing this agreement that I have read and understand the terms and conditions in the Firsttrade Securities Inc. Account Agreement (which includes the margin agreement), and agree to be bound by these terms and conditions as they apply to my account as amended from time to time. I agree that Firsttrade Securities Inc. does not provide legal or tax advice, and will not advise me concerning the nature, potential value, or suitability of any particular security, transaction, or investment strategy. I understand that investments purchased through Firsttrade Securities are not insured by the FDIC (Federal Deposit Insurance Corporation), are not obligations of or guaranteed by any financial institution and are subject to investment risk and loss that may exceed the principal invested.

In accordance with the Firsttrade Securities Account Agreement, I agree that all debts and other obligations owed to Firsttrade and any party to the Account Agreement will be secured by a lien on all securities and other property now or hereafter held or maintained in any of my present or future Firsttrade accounts, whether individually or jointly held with others, or in any other Firsttrade account in which I have an interest.

I acknowledge that I have read and understand the dispute resolution clauses located in the Firsttrade Securities Inc. Account Agreement, paragraph 23, and agree to resolve any disputes arising out of my account by arbitration.

Under penalties of perjury, I certify (1) that the number shown on this application is my correct taxpayer identification number and (2) that I am not subject to backup withholding and (3) that I am a U.S. person (including a resident alien) provided, however, if I am a nonresident alien as disclosed in this application, I do not certify that I am a U.S. person and I understand that I must submit the appropriate W-8 Form. If I have been notified by the IRS that I am subject to backup withholding as a result of delinquent or incorrect underreporting, I must cross out the text contained in clause (2) of this section and I understand I will be subject to backup withholding.

I authorize Apex Clearing and/or Firsttrade Securities to obtain a consumer report at the time of application to verify my creditworthiness and to obtain a consumer report from time to time for updates, renewals, extensions, and collection activity on any approved account. Upon my written request, Apex Clearing will disclose to me whether it obtained a report, and if so, the name and address of the consumer reporting agency that provided it. In the event that my account is denied, as a result of the consumer report verification, I authorize Apex Clearing to provide to Firsttrade the reason(s) for such denial.

I acknowledge that the information provided in the Account Application is accurate and correct.

☒ [REDACTED] [REDACTED]

PRIMARY APPLICANT SIGNATURE (signature must match identification) Date

申込内容が入力されています

署名

※パスポートと同じ署名

記入日
月/日/年

・「PRIMARY APPLICANT SIGNATURE」(申込者の署名)

※パスポートと同じ署名をしてください

・「Date」(記入日)

記入日を月/日/年の順で記入してください

2枚目: W-8BEN (アメリカの源泉徴収における受益者の海外在住の証明書)

2ページ目



FirstTrade Tax Services, LLC, a Delaware limited liability company
30000 Riverchase Parkway, Suite 2000
Houston, Texas 77056-3000

Substitute Form W-8BEN
Certificate of Foreign Status of Beneficial Owner
for United States Tax Withholding
Department of the Treasury Internal Revenue Service

Section references are to the Internal Revenue Code.

For complete instructions or more information on the W-8 form, please refer to the Internal Revenue Service (IRS) website at www.irs.gov.

Give this form to the withholding agent or payer. Do not send to the IRS.

Do not use this form for:

A U.S. citizen or other U.S. person, including a resident alien individual.

A person claiming an exemption from U.S. withholding on income effectively connected with the conduct of a trade or business in the United States.

A U.S. nonresident alien physically present in the U.S. for 183 days or more during the present calendar year.

Exception: Individuals holding an "F", "J", "M" or "Q" visa should use this form. If yes, please indicate visa type here: _____

Note: See IRS instructions for additional exceptions.

Instead, use Form:

W-9

W-8ECI

Part I Identification of Beneficial Owner (see instructions)

1 Name of individual that is the beneficial owner

TARO YAMADA

2 Country of incorporation or organization

Not Applicable

3 Type of beneficial owner:

Individual

4 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. Box or in-care-of address.

1-2-3, KASHIWAGI, MINATO-KU

City or town, state or province. Include postal code where appropriate.

TOHYO, 105-0001

Country

JAPAN

5 Mailing address

1-2-3, KASHIWAGI, MINATO-KU

City or town, state or province. Include postal code where appropriate.

TOHYO, 105-0001

Country

JAPAN

6 U.S. taxpayer identification number, if required (see instructions)

7 Foreign tax identifying number

012345678901

8 Reference number

申し込み内容が入力されています

Part II Claim of Tax Treaty Benefits (if applicable)

9 I certify that (check all that apply):

a. ☒ The beneficial owner is a resident of Japan within the meaning of the income tax treaty between the United States and that country.

b. ☐ If required, the U.S. taxpayer identification number is stated on line 6 (see instructions).

c. ☐ The beneficial owner is related to the person obligated to pay the income within the meaning of section 267(b) or 707(c), and will file Form 9833 if the amount subject to withholding received during a calendar year exceeds, in the aggregate, \$500,000.

Part III Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the beneficial owner or am authorized to sign for the beneficial owner of all the income to which this form relates.

- The beneficial owner is not a U.S. person.

- The income to which this form relates is not effectively connected with the conduct of a trade or business in the United States or is effectively connected but is not subject to tax under an income tax treaty, and

- For broker transactions or broker exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income which I am the beneficial owner.

The Internal Revenue Service does not require your consent to any provisions of the document other than the certifications required to establish your status as a non-U.S. person and, if applicable, obtain a reduced rate of withholding.

X

SIGNATURE OF BENEFICIAL OWNER

受益者(申込者)の署名
※パスポートと同じ署名をしてください

Date (MM/YY/YYYY) Capacity in which acting

記入日
月/日/年の順で記入してください

■書類のアップロード方法

※オンライン登録を行ってから1週間以内を目安に行ってください

①ONLINE SERVICE AGREEMENT(オンラインサービス契約)

②W-8BEN(アメリカの源泉徴収における受益者の海外在住の証明書)

③パスポート

上記3点をアップロードします

まず、「Firstrade」にログインします

ログイン方法は検索サイトで「Firstrade」を検索(P5参照)、または、オンライン手続き後に届いているメールからもログインできます

Firstradeへログイン



ログイン画面が表示されます



The image shows the FirstTrade login page. The 'Login to your account' section has three input fields: 'User ID' (containing 'yama-0811'), 'Password' (masked with dots), and 'Phone'. Below these is a blue 'Login' button. Red arrows point from text boxes to these elements: 'ユーザーIDを入力' (Enter User ID) points to the User ID field, 'パスワードを入力' (Enter Password) points to the Password field, and 'クリック' (Click) points to the Login button. Other elements include a 'Need Help?' section with links for 'Email us' and 'Live Chat', a 'Trade on the Go' section with a mobile app icon, and a large promotional banner on the right for 'What Value Investing Looks Like' with pricing of '\$2.95 + \$0.50'.

4桁の暗証番号入力画面



The image shows the FirstTrade PIN entry screen. It features a numeric keypad with digits 1-9, 0, and buttons for 'CLEAR' and 'LOGIN'. A red arrow points from a text box '4桁の暗証番号を入力' (Enter 4-digit PIN) to the PIN input field at the top of the keypad. The page also includes a 'Forgot your PIN?' link, a checkbox for public/shared computers, and contact information for toll-free and overseas support on the right.

ナスダックOMX グローバル利用規定

質問Aは「YES」にチェック
質問B以降は全て「NO」に
チェックが入っていることを
確認してください

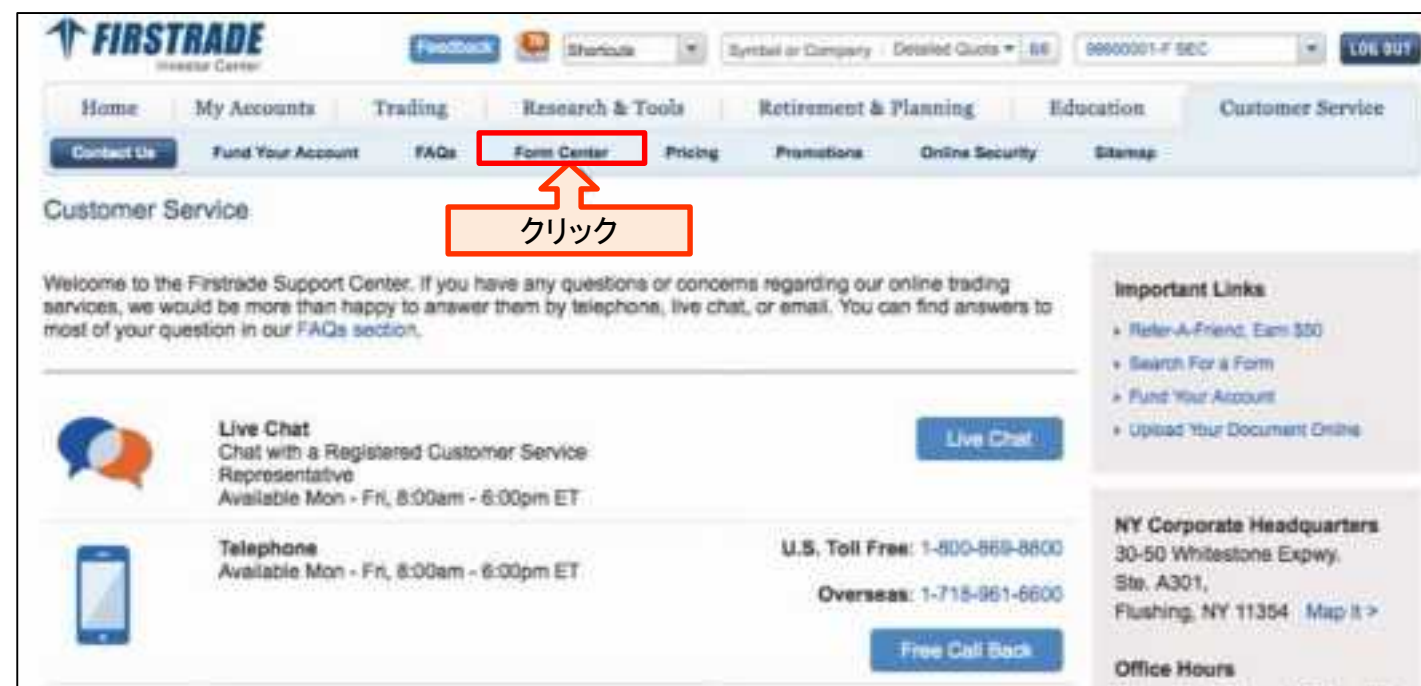
「I Agree」(同意する)
をクリック

「I Agree」をクリックするとホーム画面に移動します

ホーム画面



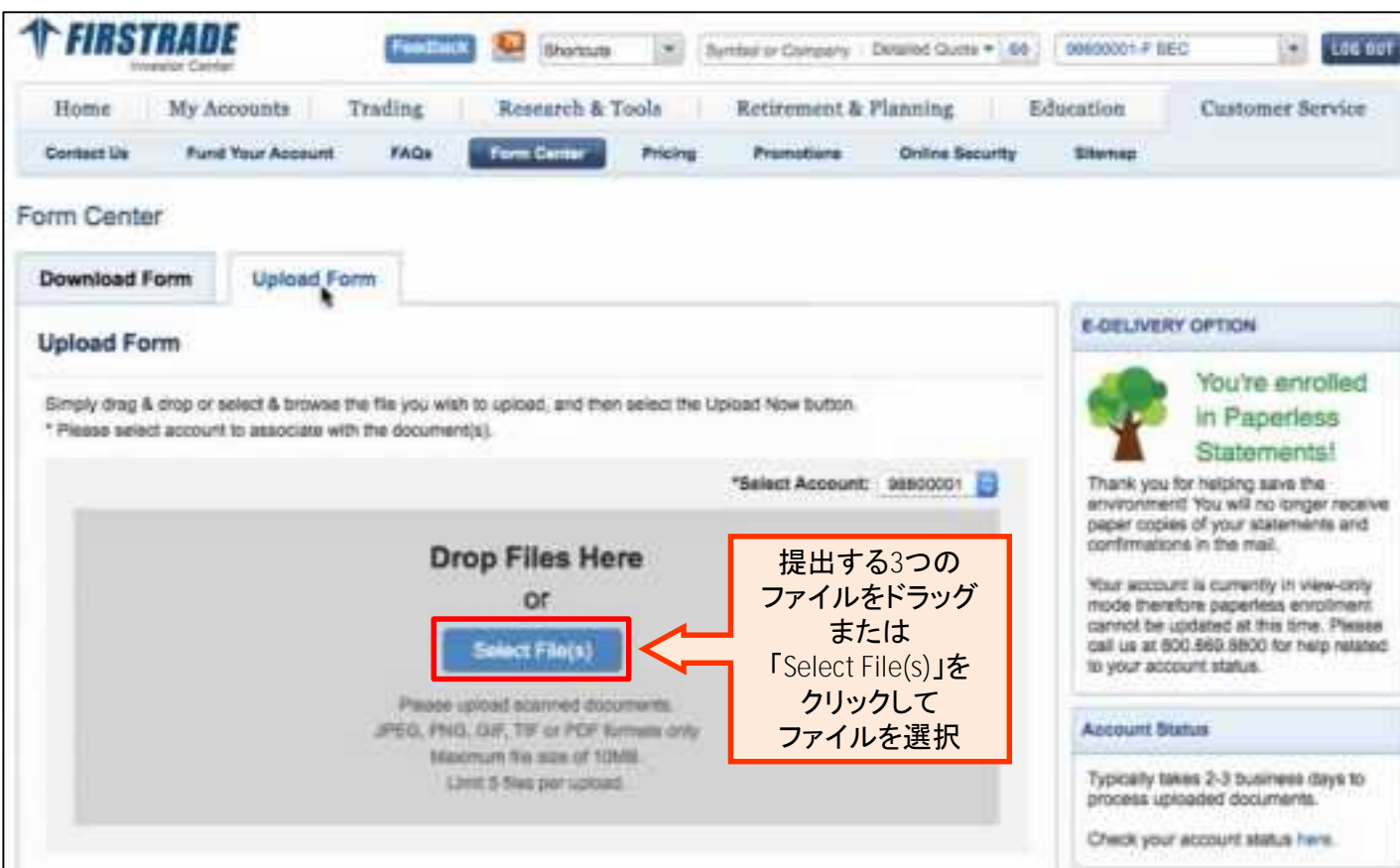
「Customer Service」(カスタマーサービス)をクリックしてください



「Form Center」(フォームセンター)をクリックしてください



「Upload Form」(アップロードフォーム)をクリック



Form Center

Download Form | Upload Form

Upload Form

Simply drag & drop or select & browse the file you wish to upload, and then select the Upload Now button.
* Please select account to associate with the document(s).

*Select Account: 98800001

Drop Files Here
or
Select File(s)

Please upload scanned documents.
JPEG, PNG, GIF, TIFF or PDF formats only
Maximum file size of 10MB
Limit 5 files per upload

Select form type

E-DELIVERY OPTION

You're enrolled in Paperless Statements!

Thank you for helping save the environment! You will no longer receive paper copies of your statements and confirmations in the mail.

Your account is currently in view-only mode therefore paperless enrollment cannot be updated at this time. Please call us at 800.869.8800 for help related to your account status.

Account Status

Typically takes 2-3 business days to process uploaded documents.

Check your account status here.

Upload Now

Maximum file size of 10MB.
Limit 5 files per upload.

Select form type

- Online Service Agreement
- W-8BEN Form
- Margin/Option Application
- New Account Application
- Customer Identification
- Change Contact Information Form
- Outgoing Wire Authorization Form
- ACH Electronic Funds Transfer Form
- IRA Distribution Form
- Account Transfer/Asset Transfer Form
- Others

Upload Now

ファイルを3つ全て入れた後にクリック

左記選択項目よりファイルの種類
・Online Service Agreement
オンラインサービス契約
・W-8BEN Form
海外在住の証明書
・Customer Identification
パスポート
を選択する

Drop Files Here

or

Select File(s)

Please upload scanned documents.
JPEG, PNG, GIF, TIFF or PDF formats only
Maximum file size of 5MB
Limit 5 files per upload

Account Status

Typically takes 2-3 business days to process uploaded documents.
Check your account status [here](#).


Educational Video

How to Upload a Form
02:23

Date	Document Name	Document Type	Account Number
2017-11-28	1-3.pdf	Online Service	12345678
21-04-29	2-3.pdf		12345678
2017-11-28	1-3.pdf	Online Service	12345678

ファイルが緑になればアップロード完了です

アップロード完了後、
数日後に口座開設の申込みが完了したことを伝える下記メールが届きます
これでFirstradeの口座開設は完了です



Customer Service
Email service@firstrade.com
Toll Free 1.800.869.8800
Overseas 1.718.961.6600

Dear Taro Yamada

Congratulations! Your Firstrade account(####-###486) has been approved. Please click [here](#) to learn how to fund your account.

「here」をクリックすると送金先情報が表示されます(送金先情報の見方はP41～参照)

If you have any further questions, please don't hesitate to [contact us](#).

Thank you for choosing Firstrade.

Firstrade Securities Inc.
New Accounts Department

■送金先情報

・ご自身の口座へ入金する方法

株式を取引(購入)するためには、まず証券口座へ購入資金を海外送金する必要があります

以下の情報を参考に、各金融機関の手続き方法に基づきお手続きをしてください



「International」(海外から送金する)をクリックしてください



送金先情報が表示されます
下記情報に基づきお手続きをしてください

WIRE FUNDS INTO YOUR FIRSTRADe ACCOUNT

VIEWS: Domestic

International

From an International Bank
海外の銀行から送金するとき

PLEASE PROVIDE THE FOLLOWING INFORMATION TO THE SENDING INSTITUTION:

Amount:	U.S. Dollar amount \$	送金通貨
Bank Name:	BMO Harris Bank	銀行名
Bank Address:	111 W Monroe St, Chicago, IL 60603	銀行住所
SWIFT CODE:	HATRUS44	SWIFTコード
Account Name:	Apex Clearing Corporation	受取人名
Apex Clearing Address:	One Dallas Center 350 N. St Paul, Suite 1300, Dallas, TX 75201	受取人住所
Account Number	1617711	口座番号
For Final Credit To:	Please provide your 8 digit Firstrade account number along with your full name	

備考欄に8桁の口座番号とあなたのフルネームを必ず記入してください

Important:

- Incoming third-party wires will be rejected and returned.
- Incoming wires received without information of "For Final Credit To" will be returned.
- Funds are available immediately after receipt of the wire.
- For international wire transfers, a fee may be charged by intermediate banks.

*If you have any additional questions, please contact a Firstrade at 1-718-961-6600 or email service@firstrade.com.

1. 第3者から届いた場合は、拒否・返却されます
2. 8桁の口座番号とあなたの名前(フルネーム)がないと返却されます
3. 資金を受領した直後から利用可能です
4. 海外送金の場合は、中間銀行が手数料を請求することがあります

印刷したい場合はクリック

PRINT PDF

送金先情報を印刷し、銀行に持参したい場合は「PRINT PDF」(PDFを印刷する)をクリックすると印刷できます

※詳しい送金手続き方法、手続きに必要な各種持参品については各金融機関のホームページ等をご確認ください

印刷用の送金先情報が表示されます
こちらを印刷し、海外送金する銀行へお持ちください



※こちらは海外送金する銀行へ提出する書類ではありません
ご自身のメモ(控え)としてお持ちください

Wire Fund Instructions

送金先指示書

Please provide the following instructions to your bank or financial institution to wire funds into your Firsttrade account. Firsttrade will only accept wires from accounts that are titled exactly as the receiving Firsttrade account.

Wire Funds to:

Bank Name:	BMO Harris Bank	銀行名
Bank Address:	111 W Monroe Street, Chicago, IL 60603	銀行住所
ABA number:	071000288 (Domestic)	ABAナンバー
SWIFT Code:	HATRUS44 (International)	SWIFTコード
Account Name:	Apex Clearing Corporation (One Dallas Center 350 N. St Paul, Suite 1300, Dallas, TX 75201)	受取人名
Account Number:	1617711	口座番号

Amount (U.S Dollar):

送金金額(※米ドル建て)

\$ 10, 000

For the Benefit/Credit of:

名前(英字:フルネーム)

Taro Yamada
(Name as it appears on your Firsttrade Account)

Firsttrade Account Number:

口座番号(8桁)

87654321
(Your 8 digit Firsttrade Account Number)

Important Reminder:

1.

Incoming third-party wires will be rejected and returned.

2.

If you are wiring funds from a bank account held jointly, please have your bank include all account holders' names as "sender".

3.

Incoming wires received without "For the benefit/credit of" specified will be returned.

4.

For international wire transfers, a fee may be charged by intermediate banks.

(If you need additional assistance or have any questions, please contact us at 1-800-869-8800.)

WFI_EN_0516

■海外送金手数料一覧

海外送金の際の主な金融機関の送金手数料です(2017年7月現在)
詳細は各金融機関へ直接お問い合わせください

金融機関名	手数料	備考
ゆうちょ銀行	2,500円	・送金金額から口座登記料(受取人の口座に入金する際に受取機関が収納する手数料)や仲介手数料(送金処理を仲介する機関が収納する手数料)等が差し引かれる場合あり
三菱東京UFJ銀行	口座引落: 4,500円 現金扱: 5,500円	—
三井住友銀行	・海外送金手数料: 4,000円 ・関係銀行手数料: 2,500円	・受取人取引銀行または送金の経由銀行で発生する手数料を依頼人負担とした場合に発生 ・受取人取引銀行または送金の経由銀行から後日4,000円を超える請求があった場合は差額を請求
新生銀行	2,000円	・中継銀行手数料: 受取銀行に資金が着金するまでの間に経由する銀行で発生する場合の手数料 ・受取銀行手数料: 受取銀行で発生する手数料
りそな銀行	・送金手数料: 6,000円 ・関係銀行手数料: 2,500円 ・リフティングチャージ: 0.05% (最低1,500円)	・関係銀行手数料は海外での手数料を依頼人負担とする場合発生し、海外での手数料が2,500円を超える場合は追加で発生

※各金融機関HP引用

— MEMO —

- 米国証券口座開設についてよくある質問を
下記URLにまとめさせていただきました
ご確認ください

<http://gaikokukabu.capital-consulting.info/>

IBC Consulting, LLC

※2018年2月現在の情報です
内容は予告なく更新する場合があります